









## **PREFACE**

On assignment from the Norwegian building authority (DiBK), Holte Consulting conducted, through the fall and winter of 2013, a status survey of solutions and issues relevant to the development of ByggNett. The assignment was carried out according to Holte Consulting's proposal dated June 3, 2013. The final report was dated January 31 2014.

This version of the status survey is revised and updated according to Holte Consulting's proposal dated March 4 2014. Chapter 2 and 3 are enhanced on the basis of supplementary interviews and additional literature sources. Chapter 4 is extended to include initiatives in additional regions.

Holte Consulting appreciates being part of an exciting project and a positive collaboration.

Oslo, July 11, 2014 Holte Consulting AS

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## **ABSTRACT**

#### **BACKGROUND**

The Norwegian building authority (DiBK) has been commissioned to formulate a strategy for developing an online collaboration platform for the AEC sector. The project is called ByggNett.

#### **SCOPE AND PARAMETERS**

Holte Consulting has been assigned the project to conduct a status survey of solutions and issues relevant to the development of ByggNett. This survey includes topics such as technology, standards, regulation and legislation. Three topics are central to the survey:

- Existing automated and digital solutions for the building application and permission processes;
- Overview of initiatives to develop solutions for model checking and digitalization of building application and permission processes;
- Use of BIM based on open international standards within the AEC industry and government agencies.

This survey includes only the section of the Norwegian Planning and Building Act relating to building applications and permits. The survey reviews only that part of the interaction between a building project and authorities that relates to the building application and permission process. The project on business models for the development and implementation of ByggNett covers the economic and commercial aspects. The concept survey shows the big picture and puts ByggNett in a wider context.

#### **METHODOLOGY**

Information is obtained by means of literature survey and interviews. Obtained information is systematized, analyzed and compiled. It forms part of qualitative methodology.

## **MAIN FINDINGS**

## BIM

- The terms Building Information <u>Model</u> (product) and Building Information <u>Modeling</u> (process) are being used interchangeably. People have a conception of the meaning they attach to the term. This may cause significant communication challenges.
- Change in human behavior is the greatest challenge regardless of profession, project role or geographic location.
- The software for BIM-based design, construction and operation is mature and available.
- Despite increasing life cycle focus, maturity and adoption of BIM-based work processes diminish from best practice in the design phase to hardly being present in operation.
- Open BIM (IFC) has a stronger position in Europe than in the rest of the world.
- There is an inconclusive debate whether one data format for all purposes (IFC) is the right solution for data exchange between involved parties.
- A consensual solution for unique identification and semantic description of objects in BIMs is yet to be defined. Currently buildingSMART Data Dictionary is the most mature solution.
- The AEC sector is moving into the model server era.



- The UK, US, Singapore and the Nordic countries are at the frontier of BIM adoption.
- Hong Kong, Korea and Japan are focusing on BIM and developing fast.
- Some research into benefits experienced from BIM has been done, especially in the UK.
   Among reported benefits are cost and time reduction. Exact benefits are difficult to predict.

#### **Automatic compliance-checking**

- Building regulations that before were formulated as prescriptive requirements are today performance based. This is a global trend. Performance based regulations are challenging to present as machine-readable rules.
- There are several software vendors developing applications for compliance-checking. The technology appears to be mature. Hence ByggNett probably can be based on existing solutions for automatic compliance-checking.
- In all surveyed applications the regulatory data representation is hard-coded into the system and is subject to manual updates by software experts. This makes maintenance and revision demanding and resource consuming.

#### Regional initiatives for developing application and permission platforms

CORENET in Singapore was the first serious effort into developing a platform for automated building application and permission. This may be seen as the catalyst which promoted the development of similar solutions in a series of countries. The initiatives found to be of significant interest are:

- CORENET (Singapore)
- The Planning Portal (UK)
- SMARTcodes (US) (not currently active)
- DesignCheck (Australia) (not currently active)

In addition there are projects with many similarities to the ByggNett concept in the pipeline in Korea, Japan and Denmark. The EU has recently initiated and funded a similar project in Iceland.

Seven central technology issues, relevant to all projects, are identified and presented in the following table. The parameters can be used in further investigations into the above mentioned initiatives.

Service automatization	The degree of automatic collection of relevant information and degree of automatic assessment of the application.
Functional code compatibility	To what extent the solution is compatible with functional descriptions from building codes.
System integration and interoperability	The solutions level of integration and interoperability with relevant systems and databases. (Similar to Norwegian government's architectural principle 2 for ICT solutions.)
Flexibility and generality	The solutions capability of processing structures of different classification, scale and complexity. (Similar to Norwegian government's architectural principle 6 for ICT solutions.)
Degree of openness	To what extent the solution is developed as an open platform based on non-proprietary technology. (Similar to Norwegian government's architectural principle 5 for ICT solutions.)
Scaling potential	Potential for future scaling in data volume and number of users. (Similar to Norwegian government's architectural principle 7 for ICT solutions.)
Maintainability	Capability of being maintained by non-experts on software technology. (Similar to Norwegian government's architectural principle 5 for ICT solutions.)



# TABLE OF CONTENTS

Pre	face	III
Abs	stract	V
1.	Introduction	3
E	Background	3
9	Scope and parameters	4
ſ	Methodology	5
,	Abbreviations and terms	6
F	Report structure	7
2.	BIM	8
E	BIM – both a process and a product	9
E	BIM communication protocols – closed or open	10
I	Information exchange and model server	13
ı	Industry maturity and adoption of BIM	14
3.	Automated compliance-checking	16
ſ	Need for an integrated approach	17
F	Performance-based or prescriptive regulations	18
F	Rule sets - representation of regulatory requirements	19
F	Required information from the model	21
F	From compliance-checking to compliance assurance	21
F	Rule engine - Compliance-checking applications	22
4.	Regional initiatives for developing application and permission platforms	25
1	Norway	26
1	Nordic countries (except Norway)	31
(	Germany	42
ι	United Kingdom	48
٦	The United States	53
9	Singapore	58
ŀ	Hong Kong, Korea and Japan	61
A	Australia	68
1	New Zealand	74
5.	Main findings	79
6.	References	82
Арр	pendix 1 - Persons interviewed	
App	pendix 2 - Workshop participants	



## TABLE OF FIGURES

Figure 1: ByggNett concept illustration (DiBK, 2013)	
Figure 2: The BIM as central repository of data	7
Figure 3: Relation between the BIM and the building application and permission platform	7
Figure 4: The BIM in context	8
Figure 5: A typical model view of a BIM	9
Figure 6: Information drops from one phase to the next	13
Figure 7: Industry maturity and adoption of BIM through the building lifecycle	14
Figure 8: The "check" in context	16
Figure 9: Interface between informatics, legal and construction (Hjelseth, 2013).	17
Figure 10: Rule sets, rule engine and the object database	17
Figure 11: From compliance-checking to compliance assurance	22
Figure 12: Timeline of development of compliance-checking applications. Based on Dimyadi and Amor (2013a)	24
Figure 13: The building application in context	25
Figure 14: The building application and permission process in Norway	27
Figure 15: Distribution in use of ByggSøk 2005-2012	
Figure 16: The building application and permission process in Denmark	35
Figure 17: The building application and permission process in Sweden	
Figure 18: The building application and permission process in Finland	
Figure 19: The building application and permission process in Iceland	
Figure 20: Illustration from the Digital Construction program	
Figure 21: The building application and permission process in Germany	43
Figure 22: Recommended structure for BIM guidelines for Germany	
Figure 23: Overview of the iVEL program (Scherer, 2014).	
Figure 24: Projects within the iVEL program (Scherer, 2014)	
Figure 25: The building application and permission process in United Kingdom	
Figure 26: BIM Roadmap Maturity Model	
Figure 27: The building application and permission process in the United States	
Figure 28: The building application and permission process in Singapore	
Figure 29: The building application and permission process in Hong Kong	
Figure 30: The building application and permission process in Korea	
Figure 31: The building application and permission process in Japan	
Figure 32: Information architecture for the Korean BIM on GIS project	
Figure 33: Information architecture for the Japanese initiative to develop a platform for automatic building application	
permission	67
Figure 34: The building application and permission process in Australia	68
Figure 35: Vision of the ePlanning Services (National eDA Steering Comittee, 2011)	71
Figure 36: Mapping schema for automated translation from IFC to internal DesignCheck model	
Figure 37: The building application and permission process in New Zealand	
Figure 38: Visualization of the current situation in Norway based on the seven parameters for assessment of platform	s for
automated building application and permission	
TABLE OF TABLES	
Table 1: Terms central to the status survey report	6
Table 2: Abbreviations central to the status survey report	
Table 3: Open BIM - underlying technology	
Table 4: Four initiatives to common and computable representation of legal information	
Table 5: Compliance-checking applications	
Table 6: Seven central issues that every initiative to develop a platform for automated building application and permis	
must consider, together with the current situation in Norway	



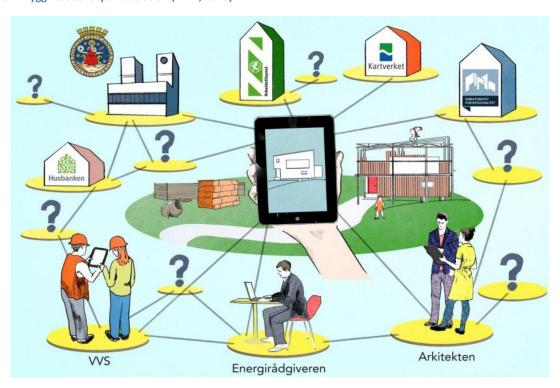
## 1. INTRODUCTION

## **BACKGROUND**

The Norwegian building authority (DiBK) has been commissioned to formulate a strategy for developing an online collaboration platform for the AEC sector. This project has been called ByggNett. The directorate's mandate is to outline the concept and prepare the strategy for development and implementation.

ByggNett will consist of online services and tools provided by several independent organizations. Although many such services exist today, there is significant potential to improve the interaction between them. ByggNett is also intended to facilitate the development of new services and utilities.





The common denominator is the construction project. The user base will consist of owners, developers, government agencies and suppliers of goods and services to the industry. The project will establish and maintain standards for data, processes and communication. Furthermore, it should promote and drive the organizational processes needed to lift the use of ByggNett above critical mass. The directorate's primary interest is related to the building application and permission process, and by this to support the overall national target of a more efficient and professional AEC sector.



## **SCOPE AND PARAMETERS**

#### **ASSIGNMENT AND SCOPE**

Holte Consulting has been assigned the project to conduct a status survey of solutions and issues relevant to the development of ByggNett. This survey should include topics such as technology, standards, regulation and legislation. Three topics are central to the survey:

- Existing automated and digital solutions for the building application and permission processes;
- Overview of initiatives to develop solutions for model checking and digitalization of building application and permission processes;
- Use of BIM based on open international standards within the AEC industry and government agencies.

A core team of four people has been responsible for the work, with support from relevant resources from Holte Consulting AS and Holte AS. The assignment was carried out within a limited timeframe. The extent and detail of the survey that was obtainable is therefore limited and the report should be read with these limitations in mind. Despite this, we consider the status survey to be thorough and comprehensive. It should give the reader a complete picture at a regulatory level.

## **PARAMETERS**

During the studies we have found it necessary to define some precise parameters for the survey. These are:

- This survey includes only the section of the Norwegian Planning and Building Act relating to building applications and permits. Area planning is outside its scope.
- This survey reviews only that part of the interaction between a building project and the building authorities that relates to the building application and permission process.

The project on relevant business models for the development and implementation of ByggNett covers the organizational, process-related, economic and commercial aspects.

The concurrent ByggNett concept survey shows the big picture and puts ByggNett in a wider context.



## **METHODOLOGY**

#### **GENERAL METHODOLOGY**

Research methodology is the systematic approach used to shed light on a chosen topic. It may seem advanced and distant, but in reality it is not any more complicated than a thorough attempt to provide clarity and understanding. The description of methodology is important as quality assurance, to enable the reader to consider the basis of the conclusions and to enable others to continue the work.

As required by the client, this survey is based on a literature survey and information-gathering by means of interviews. The literature survey was started first, but the two activities have been run in parallel during most of the project. Changes to and auditing of both the literature survey and the interviews have been necessary as the information base has developed and expanded.

The information obtained is systematized, analyzed and compiled. This work forms part of qualitative methodology, where the information is given in textual or verbal terms. Qualitative methodology requires an objective perspective to be maintained. The aim is to present a holistic and thorough picture of the topic under examination.

#### LITERATURE SURVEY

To ensure thoroughness and objectivity, a literature survey should be based on a pre-defined search strategy. In our work we have developed and made use of a simplified search strategy.

To obtain the best results, we have chosen databases that match the theme surveyed. The focus has been on technical databases, along with databases that include management and strategy. The Internet has been important as a source for preliminary and complementary search. Quality assurance has been carried out by examining the originating organization, the author(s), the empiricism and the arguments.

## **INTERVIEWS**

Planning of the interviews has been done on the basis of the information received from the client and the information gathered in the literature survey. Together, the interviewees represent all parts of the AEC sector, including both private and government stakeholders.



## ABBREVIATIONS AND TERMS

## Table 1 presents central terms. Table 2 presents central abbreviations.

Table 1: Terms central to the status survey report

Hard-code	The software development practice of embedding the configuration data directly into the source code of a program.
Expert system	A computer system that emulates the behavior of human experts.
Hypertext	A database organized as a network of nodes and links that has cross references.
Building Information Modeling	A process for managing the information produced during a construction project, in common format, from the earliest feasibility stages through design, construction, operation and finally demolition.
Building Information Model	A representation of a building project in BIM format, usually consisting of a three-dimensional model integrated with a database about materials, products, components, systems and their properties and performance.
buildingSMART International	The organization that develops, maintains and promotes Industry Foundation Classes (IFC) as a neutral common data standard for BIM.
Construction Operations Building information exchange	A standard format for organizing, holding and transmitting information about new and existing buildings through the handover process, to support their operation; COBie is a non-geometric subset of IFC.

Table 2: Abbreviations central to the status survey report

IFC	Industry Foundation Classes
bSDD	BuildingSMART Data Dictionary (former IFD)
IDM	Information Delivery Manual
AEC	Architecture Engineering Construction
BIM	Building Information Model/Building Information Modeling
EDM	Express Data Manager
DDS	Data Design Systems
SMC	Solibri Model Checker
ISO	International Organization for Standardization
DiBK	Direktoratet for Byggkvalitet (Norwegian building authority)
RASE	Requirement, Applicabilities, Selection and Exceptions
ICC	International Code Council
LKIF	Legal Knowledge Interchange Format
ESTRELLA	European project for Standardized Transparent Representations in order to Extend LegaL Accessibility
OASIS	Organization for the Advancement of Structured Information Standards
NBS	National Building Specification
CAD	Computer aided design.
COBie	Construction Operations Building information exchange
gbXML	Green Building XML
RIBA	Royal Institute of British Architects
STEP	Standard for Exchange of Products
SQL	Structured Query Language
AIA	American Institute of Architects
AIA	Accessibility Interoperability Alliance

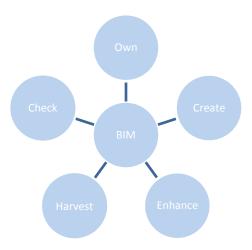


## REPORT STRUCTURE

The building model which is developed through the design phases is central to this status survey. The building model is created by the architect, owned by the project owner, enhanced by engineers and harvested from by a range of analysts and advisors. Sometimes referred to as CRUD (create, read, update, delete) operations (Beetz et al., 2011).

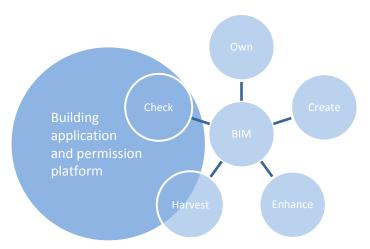
Figure 2 illustrates a simplified model of how the BIM is the central repository of data.

Figure 2: The BIM as central repository of data



The building model contains the information which necessarily must be subject to review in an automatic building application and permission process. This is illustrated by the larger circle in Figure 3.

Figure 3: Relation between the BIM and the building application and permission platform



The "BIM", the "Check" and the "Building application and permission platform", in this order, will be investigated in this survey. We will start each chapter with a short introduction and a graphic where the element in focus is highlighted.

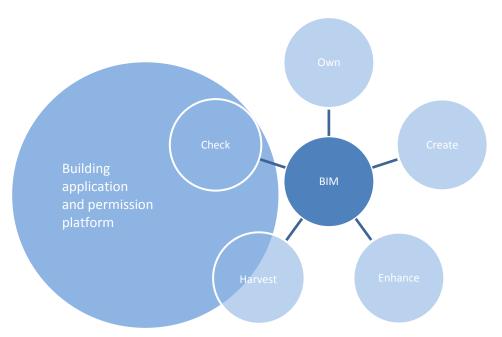


## 2. BIM

Over the last ten years the focus on gathering all the information for a building project in one place that is accessible by all involved parties has been increasing. The number of applications for Building Information Modeling (BIM) in building design, construction production planning, 4D simulation (cost) and 5D simulation (time) is growing rapidly (Sulankivi et al., 2013).

A number of project collaboration methods and tools exist that allow the controlled spread and integration of information among project stakeholders (Beetz et al., 2011). In contemporary construction projects, collaboration through an electronic platform has become commonplace. In the last few years BIM has emerged as the common solution for managing, representing and sharing information in building projects.

Figure 4: The BIM in context





## BIM - BOTH A PROCESS AND A PRODUCT

BIM is an abbreviation for both a process and a product. There exist several different definitions of both the modeling (process) and the model (product).

#### **Building Information Modeling**

A process for managing the information produced during a construction project, in common format, from the earliest feasibility stages through design, construction, operation and finally demolition (Construction Products Association, 2013).

#### **Building Information Model**

A representation of a building project in BIM format, usually consisting of a three-dimensional model integrated with a database about materials, products, components, systems and their properties and performance (Construction Products Association, 2013).

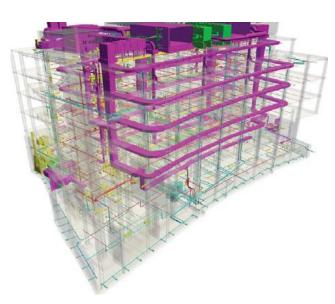
In interviews and workshops with government parties and AEC (Architecture, Engineering, Construction) industry players we have asked whether the M in BIM is viewed as the "model" or the "modeling". It is obvious that both terms are in use. Some have awareness of the meaning of the word and the message they are sending, while many use the abbreviation without any awareness of whether they are using the word "model" as a noun, meaning a product, or verbally, meaning the process of modeling. This is consistent with the findings of several research studies, for instance Wong et.al (2009), which state that the terms are currently being used interchangeably.

Some argue that the M in BIM stands for "managing". Through the interviews we have done with industry players, it is obvious that Building Information Managing as a term is little used.

BIM extends building design from geometric 3D models to 4D and 5D (time and cost) models, potentially enriched with all the information connected to the object to be built, example, material for quality requirements, energy performance, fire resistance of elements and vendor information. The BIM can be used for all types of analysis, from structural analysis, like static loads and earthquake resistance, to air flow and daylighting.

The as-built BIM describes the building as it actually was built. It contains information important for building operation and maintenance.

Figure 5: A typical model view of a BIM





## BIM COMMUNICATION PROTOCOLS - CLOSED OR OPEN

A prerequisite for efficient information exchange is a data model common to all project stakeholders and a database allowing individual operations of single building components (Beetz et al., 2011). Models fall into two categories: open BIM and closed BIM. (In addition to these two solutions there is also the bespoke solution where everybody learns everyone else's language. This is inefficient and in most cases to be avoided, if possible.)

Closed BIM is the single platform solution, where all parties involved must "talk the same language". This typically involves proprietary solutions from commercial software vendors, where information exchange must be carried out in a specific file format. Closed BIM is relatively simple to implement within large organizations offering a single discipline service. With a company-wide solution the software interfaces are seamless and information exchange is relatively uncomplicated. A common challenge results from different analysis and specific design tasks being carried out in different software applications. Further problems emerge when the different disciplines are allocated to various companies using applications from different vendors, which is the case in most construction projects.

"IFC is just XML under the hood." Jonatan Schumacher, Thornton Tomasetti Open BIM is a universal approach to the collaborative design, realization and operation of buildings based on open standards and workflows (buildingSMART International, 2013). It is a common platform solution where all parties involved can "talk their own language" and communicate through a common interpreter. Open BIM is the initiative

of buildingSMART International, an independent non-profit organization with contributors across the global AEC industry. In Open BIM information exchange is carried out using the Industry Foundation Classes (IFC) format, which is based on a XML schema. More information on openBIM standardization and underlying technology is given in Table 3. The IFC model provides a predefined standard that covers a large scope of interoperability, including architecture, structure, fire engineering and building service domains, and consequently it is complicated (Ding et al., 2006).

Many players within the AEC industry argue that trying to develop one information exchange format that suits all disciplines and purposes makes IFC big and complicated, and hence slow and cumbersome to use.

"Using IFC straight from the box is just like using google translate."

Elvar Ingi Johannesson, EFLA Consulting Engineers

#### **BuildingSMART**

BuildingSMART is a world-wide alliance driving the development of open, non-proprietary, internationally recognized standards, tools and training to support the wider adoption of BIM (buildingSMART International, 2013). It is a non-profit organization with contributors across the global AEC and FM industries. BuildingSMART International is the global overarching organization. The International Council (IC) is the legal governing body of buildingSMART International. The Executive Committee (ExCom) is the IC's surrogate during the intervals between meetings. The Norwegian chapter, BuildingSMART Norway, is a central and active part of buildingSMART International. It is currently lead by Steen Sunesen.





This survey found several initiatives to develop alternative solutions for information exchange. These vary in ambition, complexity and how far they have come. The iVEL project at the University of Dresden is perhaps the most holistic and interesting initiative surveyed. More information on iVEL is given i Chapter 4.

#### Thornton Tomasetti develops a simplified alternative to IFC

Thornton Tomasetti is an American engineering company established in 1956. The company has worldwide activities within structural engineering, building skin, building performance and sustainability. They have participated in some of the world's largest, tallest and most innovative building projects (Thornton Tomasetti, 2013).

Thornton Tomasetti is investing more in R&D than most AEC engineering firms. They are focusing on developing models for analysis and iterations in early design stages. They believe this is important to value creation, though it demands frontloading of design costs.

Thornton Tomasetti has experienced IFC to be very large and demanding, and believe this to be a consequence of it being tailored to fit all parties. They experience export/import to be slow and the models not trustworthy when imported to some applications. As an answer to this Thornton Tomasetti has developed TTX. This is a simplified format of IFC based on a relational database instead of XML. TTX allows export/import between different applications. They say that relational databases are more efficient to work with than XML files.

"National BIM Standard is all about nuts and bolts."

James Vandezande, HOK

Whether the AEC industry will move in the direction of proprietary solutions or open BIM standards is unclear. The large commercial software vendors have used their position to promote their own proprietary solutions. They have long held on to their proprietary file formats and information

exchange protocols. Today many applications are compatible with the open BIM schema from buildingSMART. Exporting of information in IFC format is possible in most software applications, but updating/altering exported information and feeding this back into the software applications is in most cases not possible. Some within the industry argue that one standard for all is the wrong way to go, while others are convinced that open BIM is the only way to make information exchange efficient. This survey hasn't found a basis for any conclusion in one direction or the other. What is obvious, though, is that openBIM and IFC have a strong position and support in Europe, while proprietary information formats have a stronger hold in the US and in Asia.

Table 3: Open BIM - underlying technology

Open BIM – underlying technology		
IFC	Industry Foundation Classes (IFC) is the open and neutral data format for openBIM. The IFC specification is developed and maintained by buildingSMART International as its "data standard". IFC is registered as an ISO standard (ISO16739). The current version is IFC4 released on March 12. 2013 (buildingSMART International, 2013).	
IDMs (former IDM)	The buildingSMART standard for processes (IDMs) (formerly known as the Information Delivery Manual or IDM) specifies when certain types of information are required during the construction of a project or the operation of a built asset. It also provides detailed specification of the information that a particular user (architect, building services engineer etc) needs to provide at a point in time and groups together information that is needed in associated activities: cost estimating, volume of materials and job scheduling are natural partners.	



bSDD (former IFD)	BuildingSMART Data Dictionary (bSDD) is a mechanism that allows for creation of multilingual dictionaries or ontologies. It is a reference library intended to support improved interoperability in the building and construction industry, and is one of the core components of the buildingSMART data standards program. bSDD is based on the ISO12006-3.
EXPRESS	EXPRESS is a standard data modeling language for product data. IFC files are written using EXPRESS. EXPRESS is registered as an ISO standard (ISO 10303-11).
XML	Extensible Markup Language (XML) is a markup language that defines a set of rules for encoding documents in a format that is both human-readable and machine-readable. It is defined in the XML 1.0 Specification produced by the W3C, and several other related specifications, all open standards.
STEP	Standard for Exchange of Products is an open computer modeling standard for the industrial and manufacturing industries, developed by the International Standards Organization during the 1980s (Construction Products Association, 2013).

Some national building authorities and building owners have developed manuals setting out BIM guidelines and requirements. In general these describe how models are to be specified and/or how the modeling process should be carried out. These manuals typically seem to focus more on technical specifications and software solutions than on the process of modeling. Among the front runners in this area are: the UK BIM Task group, the US General Services Administration (GSA), National Institute of Building Sciences (NIBS) and Construction Industry Institute (CII), Senate Properties in Finland and Statsbygg in Norway.

#### The role of the commercial software vendors - AutoDesk

AutoDesk is the largest international software vendor for computer aided design (CAD). The company is based in the US with offices and a strong market position worldwide. AutoDesk delivers software for 2D and 3D design as well as BIM to the construction, manufacturing and entertainment industries. Within the AEC sector AutoDesk software is used by architects, engineers and project managers among others (Auto Desk, 2013).

AutoDesk, like any other software vendor, is doing a lot of research and development. Efforts are done in the area of information exchange, cloud BIM and automatic rule checking, among others.

Phillip Bernstein, Vice President at AutoDesk, claims that BIM must be approached with a bottom up perspective. He sees the building information modeling process as closer related to the World Wide Web with its indexing than to reference libraries and Enterprise Resource Planning which is adopted by manufacturing sectors. The AEC industry has certain intrinsic

characteristics that make it different from manufacturing industries like petroleum or automobile, he states. It is based on traditional guilds and is extremely fragmented. "It will be very hard to get the small manufacturer of door knobs to adopt BIM and a reference library."





## INFORMATION EXCHANGE AND MODEL SERVER

The construction project work flow is never linear. Especially in the early stages when the process is undefined and conceptual changes and iterations take place frequently. Most companies have file servers, but today these are not able to cope with the BIM. As stated by Charles Eastman at Georgia Institute of Technology, we are moving into the model server era.

"We are moving into the model server era."

Charles Eastman, Georgia
Institute of Technology

When information is delivered from one discipline to another, or from one design phase to the next, knowledge is lost. This is the case for all information exchange, regardless of industry. Model servers can contribute to reducing the loss of information by having the AEC professionals continue the design in the same model, instead of handing over files by means of data drops.

Figure 6: Information drops from one phase to the next



With the model server concept the BIM is located on a server accessible to all parties involved. Model servers enable efficient information exchange, with all parties working in the same model. Model servers have been pointed out time and again to be a crucial requirement for an increase in efficiency and productivity for the AEC industry (Eastman et al., 2008). Model servers fall into two categories:

- Persistence tools for open, vendor-neutral models resulting from heterogeneous applications. (E.g. the BIMserver project.)
- Persistence tools for proprietary, native application models enhanced with versioning and multi-user capabilities. (E.g. software packages such as ArchiCAD™ and Revit™.)

Though a lot of research is being done in the field of model servers, the AEC industry is facing many challenges. Perhaps the largest current challenge is the synchronization problem: when you make a change, how can you make sure that this change is synchronized among all design disciplines? The level of interdisciplinary integration does not seem to have come far enough to deal with this problem.

Another challenge related to all parties working in the same BIM located on a server arises when the construction element suppliers are contractors (e.g. the supplier of precast concrete). They make their own models in applications connected to their manufacturing process. These models are seldom or never fed back into the central BIM and hence as-built information is lost. Information that is crucial to building operation, maintenance and eventually demolition.



## INDUSTRY MATURITY AND ADOPTION OF BIM

Although the technology has been around for some time, BIM is still relatively new to the AEC industry. The adoption of BIM is depended on, and varies with, some key variables. These have been found to be:

- Regional culture differences;
- Governmental incentives;
- Company size;
- Focus on research and development.

We expand briefly on this in later chapters where we look at the regional differences in the maturity and adoption of BIM.

The maturity and adoption of BIM also varies through the building lifecycle, as illustrated in Figure 7. BIM is used a lot in early phases and in many construction projects by all disciplines in during the design phase. During the construction phase BIM is at present relatively little used and the application is unsophisticated in technology terms. When the building is handed over for operation much information is lost and the use of BIM is not well defined. The most sophisticated solution for operational advantages from BIM is the US COBie initiative, but this is still at an early stage and not much more than an advanced spreadsheet.

Figure 7: Industry maturity and adoption of BIM through the building lifecycle



## **bimSCORE**

bimSCORE is a start-up company based in San Francisco, US. The company currently has approximately twenty employees at offices in North-America and Asia (bimSCORE, 2013).

bimSCORE is an evaluation model for the maturity and adoption of BIM within building and construction projects. The concept is a spin-off from the Center for Integrated Facility Engineering at Stanford University. The model is to be used for advising building owners, designers and builders to functional and business

performance in all stages of the building life cycle. A light version of bimSCORE is available online free of charge.

BIM Score is used in the 2013 issue of the McGraw-Hill SmartMarket report on global BIM adoption.





#### **European Public Client BIM Group**

A proposal for the formation of a European Public Client BIM Group was published in May 2014 (European Public Client BIM Group, 2014). The intention is to develop and communicate a common European strategy for the introduction and specification of Building Information Modelling (BIM) in Europe's public works. As a number of European nations have already started, or are now beginning to investigate, the development of national public sector BIM strategies, it is recommended that now is a critical time to coordinate and collaborate on BIM at the European level. The proposal states that "immediate coordination is required with cross-European initiatives to avoid divergent and competing national strategies and to support the objectives described under the European Commission's strategy for Construction 2020".

The goal of our forming European Public Client BIM Group:

"We aim to improve the cost efficiency of public spending, optimize the whole-life performance of Europe's public estate, and mobilize and grow a digital, open and more competitive construction sector."



## 3. AUTOMATED COMPLIANCE-CHECKING

Several studies have identified the checking of building design against building regulations as time-consuming and error-prone (Shih et al., 2012). These challenges result largely from the manual certification processes conducted by the building authorities and are compounded by increasing complexity in both the building specifications and the building regulations.

Over the last four decades there has been an extensive amount of research conducted in the area of automated and semi-automated regulatory compliance-checking for the AEC industry (Dimyadi and Amor, 2013a). The focus has been on the development of suitable digital representations of both the building and the regulations, and making these capable of communicating. Previously CAD and currently BIM, together with IFC, were established as reasonable methods and generally accepted protocols for digital representation of buildings. However, the complexity of representing building codes as computable objects has been a major challenge, and the contribution from the legal sector has been insufficient. Since the early 1990s more attention has been focused on this challenge and researchers have expended a lot of effort in formulating digital representations for both prescriptive and performance-based regulations. The legal sector has entered the field and is contributing to current research and development.

Building application and permission platform

Harvest

Check

Create

BIM-server

Enhance

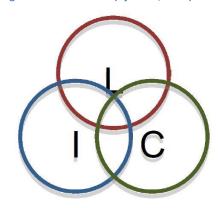
Figure 8: The "check" in context



## NEED FOR AN INTEGRATED APPROACH

Several studies have identified the checking of building Figure 9: Interface between informatics, design against building regulations as time-consuming and error-prone (Shih et al., 2012). Manual compliance-checking is inefficient and unpredictable. Bringing knowledge from an expert's brain into a computable rule is today a long, labor intensive, complex and costly process (Bell et al., 2009). This must be made quicker, cheaper, more transparent and less complex. An automated code-checking software tool is needed to identify potential problems early and correctly assess designs for compliance (Ding et al., 2006). An example often used is the checking of safety codes. What today is being checked manually by local building authorities is

legal and construction (Hjelseth, 2013).



possible to check automatically through the use of BIM-based rule-checking (Sulankivi et al., 2013). The benefits should be obvious.

Building application and permission processes take place in the interface between the legal field, the informatics field and the building construction field. Hjelseth (2013) argues that an increased integration between these three areas can enable a shift in the development of regulations adapted for automatic model-checking. Through the interviews conducted in this survey it has become clear that this view is supported by both government bodies and AEC industry parties.

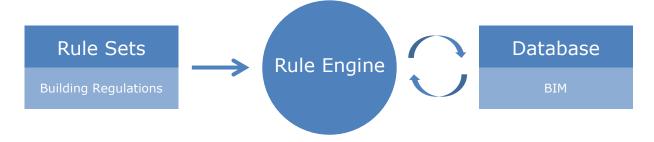
Development in advanced informatics and a growing focus on information exchange protocols in the legal field, along with BIM's entry into the AEC sector, seem to have prepared the ground for an integrated approach to developing solutions for automatic compliance-checking.

Automated compliance-checking of models against building regulations require:

- A database in effect the BIM;
- rule sets in effect the building regulations to be applied; and
- a rule engine an application that creates queries from the rule sets and run these against the database.

The database is discussed in the previous BIM chapter. In this chapter we elaborate on the topic of rule sets and rule engines.

Figure 10: Rule sets, rule engine and the object database.





## PERFORMANCE-BASED OR PRESCRIPTIVE REGULATIONS

"Building practices, technology and construction techniques are constantly evolving. We need to make sure that building regulations are fair, efficient, up to date and effective."

**UK Government Policy** 

Building regulations are a statutory instrument that seeks to ensure the intentions set out by the Planning and Building Act. The regulations set out the rules for work in new or converted buildings to make them safe and accessible, and at the same time limit waste and environmental damage (GOV.UK, 2013b). Those carrying out building work must usually arrange for their work to be checked by an independent third party to make sure that the building specifications meet the required standards, i.e. the building regulations. In Norway the role of the independent third party is played by the local building authority, while the regulations are published by the national building authority (DiBK).

There are two different ways in which regulations can be presented, prescriptive and performance-based.

#### **Prescriptive regulation**

Imposes rules containing detailed requirements to which technical solutions must comply.

#### Performance based regulation

Prescribes the outcomes to be achieved by the technical solutions.

The current Norwegian building codes, TEK 10 (FOR-2010-03-26-489), are performance-based.

Performance-based regulations are formulated as legal text, open to interpretation and discretionary use. Representation of these regulations as computable objects is challenging.

Prescriptive codes have their advantage in being formulated as rules, with binary and quantified measures to which technical solutions must comply, and consequently they are easier to represent as computable objects.

Building codes in many countries around the world are shifting from prescriptive to performance-based. This allows industries as well as individual companies to take different approaches to achieve the required outcomes or performance targets. The shift is also due to economic and social reasons, to advances made in science and engineering and to the global harmonization of regulation systems. Performance-based codes are more flexible in permitting innovation. They permit the incorporation and use of the latest building research, data and models. Models can be used as tools for measuring the performance of any number of design alternatives. The optimum design meets the code objectives and at the same time the needs of both the designer and the user.

A challenge in the area of performance-based as against prescriptive regulations is the part of the building application and permission process that deals with aesthetic issues. The building codes contain both the technical specifications of a building and the aesthetic elements. These are two very different perspectives which must be approached in different ways. The technical specifications are often uncomplicated to present using quantifiable measures and can be formulated in prescriptive



terms, while the aesthetic aspects have inherent characteristics that require them to be evaluated differently.

#### Separating aesthetics from technique

Charles Eastman, a researcher at Georgia Institute of Technology, has done a lot of research in the area of virtual design, model servers, data exchange and automated compliance checking. He argues that to make automatic compliance checking possible aesthetics must be separated from technique. He sees aesthetics as connected to the form and visual characteristics of a building's exterior. Technique is related to floor plans and vertical infrastructure/communication within the building envelope. An effort to develop automatic compliance checking should begin with the parts of the building codes that are most suited for rule checking, i.e. quantifiable measures. "We all walk on floors" he states.

## RULE SETS - REPRESENTATION OF REGULATORY REQUIREMENTS

There are many ways of giving a computer a set of well documented instructions on a standard format. The challenge is to choose the most suitable format, and at which level the format must apply (Bell et al., 2009).

Traditionally legal documents are presented in a format that requires them to be interpreted manually. To enable automatic compliance-checking, the context and content of building regulations need to be defined in logical, readable ways so that they can be related to the BIM data being checked (Shih et al., 2012).

Researchers have expended a lot of effort in formulating an ideal digital representation of regulatory requirements for compliance-checking applications (Dimyadi and Amor, 2013a). In other words, writing the regulations so that they can be read by computers, with no room for interpretation or discretionary use. This means that the semantic structure of each regulation is translated into rules or parametric tables (Shih et al., 2012).

Early work in the representation of requirements area focused on knowledge-based systems (e.g. decision tables), hyper document modeling and mark-up technology.

Through the 1990s effort focused particularly on knowledge-based systems and expert systems. These methods seek to encode regulatory information for use in design into rules, which depends on the underlying knowledge base being kept up to date at all times. Despite this reliance on manual updates, research is still being carried out in the field of automated or semi-automated extraction of information from regulatory texts into rules and other computable objects (Dimyadi and Amor, 2013a).

Today the concept of marking up regulatory text to create computable representations is receiving much attention. RASE is perhaps the mark-up initiative which receives the most attention. The RASE mark-up concept builds on semantics. The foundation for the RASE concept is using mark-up based on the four operating parameters: requirements (R), applicability (A), selection (S) and exception (E) to regulatory text (Hjelseth and Nisbet, 2011). This approach makes it possible to capture regulatory documents as rules which can be read digitally, which again permits implementation into BIM/IFC based model checking software. RASE rules can be represented in the IFC schema which enables rule-checking applications to be adapted to use the model. Hjelseth and Nisbet (2011) conclude that



widespread use has not been achieved and expectations regarding semantic web use have not moved forward as expected.

#### **AEC3 RASE tools**

AEC3 is an international consulting company in the field of process optimization in the building industry. Two independent companies operate under the roof of AEC3 Ltd: AEC3 Deutschland GmbH and AEC3 UK. The company is involved in the development of the Information Delivery Manual in Norway.



The AEC3 RASE tools builds on the concept from SMARTcodes , a US initiative presented in Chapter 4.

More information can be found at the website: <a href="http://www.aec3.com/">http://www.aec3.com/</a>

Table 4 gives a short introduction to four initiatives in respect of common and computable representation of legal information.

Table 4: Four initiatives to common and computable representation of legal information

Initiative	Description
Legal XML	The LegalXML initiative was started in 1998 as collaboration on non-proprietary standards for the legal community. The initiative has developed information standards for the various parts of the legal knowledge domain (e.g. eContract, Legal Transcripts, Online Dispute Resolution) (OASIS, 2013).  The work is organized by The Organization for the Advancement of Structured Information Standards (OASIS).
RuleML	Rule Markup Language is a unifying family of XML-serialized rule languages spanning across all industrially relevant kinds of Web rules. The current specification of RuleML is Version 1.0 released on April 3. 2012.  The RuleML Initiative is an international non-profit organization covering all aspects of Web rules and their interoperability (RuleML, 2013). The structure of the organization and execution of the work is
LegalRuleML	LegalRuleML was initiated in 2012 by OASIS. The goal of the LegalRuleML project is to reuse and extend RuleML with features specific to the formalization of norms, guidelines and legal reasoning (Palmirani, 2013). The didactical standard is oriented to support legal knowledge engineers and the syntax is annotated more effectively, descriptive and readable for users with legal background.
LKIF	The Legal Knowledge Interchange Format (LKIF) is a standardized terminology and language for interchanging legal knowledge (Estrella Project, 2007). LKIF builds on Semantic Web and the XML standards, and extends the W3C standards.  LKIF was developed by The European project for Standardized Transparent Representations in order to Extend Legal Accessibility (Estrella). The project ran from 2006 to 2008 and was coordinated by the Universiteit van Amsterdam (Estrella Project, 2008).



## REQUIRED INFORMATION FROM THE MODEL

When an automatic compliance check is to be done, what information is required for it, and what data format should be used for information exchange both need to be defined. This is similar to the challenge every building design team must deal with when information is exchanged between the different players. What information is required and what information is excess?

As stated by Shih et al. (2012), several significant challenges need to be overcome in defining the information that needs to be extracted from BIM models to allow for automatic code-checking. The check that is going to be carried out should always define what information is required from the BIM. Exchange of the entire BIM will be inefficient and in most cases unnecessary.

"When you want to book a flight you don't go to United Airlines and download their entire database. You go to a search engine and extract the information you require from different databases."

James Vandezande, HOK

The problem of defining how the information exchange should take place, and in what format, is closely interconnected to the proprietary versus open BIM debate, cf. Ch. 2.

Some argue that a compliance-checking solution should be followed by a guideline that sets out how models should be designed and specified so they can be checked. This aligns with other initiatives that emphasize the importance of guidelines covering how models should be specified and how, and what information is to be delivered at what time. The Statsbygg BIM manual, cf. Ch. 2, is an example of this.

## FROM COMPLIANCE-CHECKING TO COMPLIANCE ASSURANCE

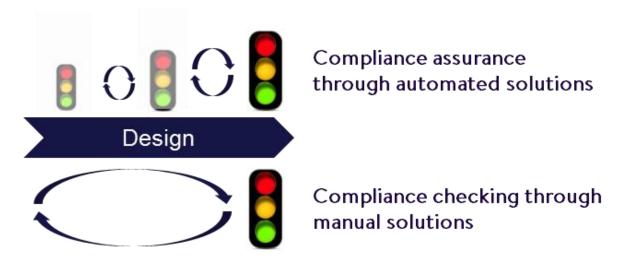
40 per cent of building defects in Norway (and similar number for other European countries) can be related to mistakes or omissions in the design phase (Bell et al., 2009). An efficient application of rule checking against building regulations have the potential to reduce this number drastically.

In addition to making the compliance-checking process more effective, automatic compliance-checking solutions have the potential to improve collaboration and communication among project stakeholders and between the project and the building authority. An important benefit from the use of automatic building permission systems is the possibility of checking design solutions in advance, which might give a higher degree of predictability and reduce total production time (Hjelseth, 2013). This is supported by Shih et al. (2012) which emphasizes that a framework for a code-checking system should facilitate designers in various phases of the design process.

Instead of spending a lot of resources developing a design that might not be accepted, the building design can be checked for compliance against the building codes in parallel with design development. Small iterations can ensure that the building design is developed in accordance with the applicable building codes from the beginning of design to as-built specifications, and so ensure that time, cost and quality targets are met.



Figure 11: From compliance-checking to compliance assurance



## **RULE ENGINE - COMPLIANCE-CHECKING APPLICATIONS**

Numerous initiatives to develop compliance-checking applications are in existence. Some have their origin in government projects and others are strictly commercial ventures.

International initiatives to develop compliance-checking applications are presented in Table 5. The initiatives are presented in the same order as they appear in the timeline at the end of this chapter.

An important observation on the properties of the surveyed application is that the regulatory data representation is hard-coded into the system and is subject to manual updates by software experts.

**Owner organization** 

**Table 5: Compliance-checking applications** 

Website: http://www.epmtech.jotne.com/express-data-manager-edm

**Application** 

EDM Model Server	Jotne EDM Technology, Norway	
EDM Model Server was developed by Jotne EDM Technology in Norway in 1998. The system is an object database with tools to manage complex Product Data Models. EDM can resolve data interoperability issues like exchange, sharing, integration, quality and archival.  EXPRESS  Data Manager <sup>T/</sup> makesyour data work harder and live longer		
EDM Model Server is based on the open international standard EXPRESS, so data can be imported and exported using open standards. Computable rules expressed in the same standardized language as the IFC model can be executed directly on the EDM Model Server. This provides a powerful and flexible platform, but also a set of tools that require a high level of expertise (Bell et al., 2009). EDM implements the methodology of ISO 10303 (STEP) and is used by several international open standards, such as STEP, PLCS, buildingSMART, POSC/CAESAR and others.		
EDM started as a collaboration platform but has since incorporated several additional models including EDM Model Checker that supports open development using the EXPRESS data modeling language (ISO 10303-11).		
The Australian DesignCheck and the Singapore CORENET initiatives uses EDM as a platform for encoding building codes an linking them with building models.		



## Application Owner organization

#### SOLIBRI Model Checker (SMC)

Solibri Inc., Finland

Solibri Model Checker (SMC) originates in Finland. It was initially developed as a tool for quality assurance and validation of IFC BIM models. SMC has since developed into a standalone graphically driven rule-based compliance checking and reporting application. The application has a set of built in rules that can be managed by a rule-set manager. The rule-sets can be changed, but user customization is limited due to a restricted range of objects and parameters for encoding building codes and domain knowledge.



Statsbygg has developed a code checking solution for accessibility utilizing SMC as checking platform. The rules in this solution are presented as parametric tables.

Website: http://www.solibri.com/

Application	Owner organization
CORENET BP-Expert	Building Construction Authority, Singapore

The CORENET BP Expert System was launched in 1997. The first step was an electronic consent submission system incorporating an in-house developed Building Plans (BP) Expert System to Check 2D plans for compliance.



In 2002 CORENET was upgraded and the 2D BP Expert System replaced with 3D IFC data model.

Website: http://www.corenet.gov.sg/

Application	Owner organization
FORNAX <sup>TM</sup>	novaCITYNETS

Fornax is an IFC viewer developed for the ePlanCheck project in the CORENET program. FORNAX™ was developed specifically to perform automated checks on electronic drawings against building and land regulations for design compliance and generate compliance reports. It extends the IFC models and builds additional intelligence to enable the implementation of checking functions. The FORNAX™ software platform was developed by novaCITYNETS.



At the base of the FORNAX™ software are: (a) database technology from EPM Technology A/S; (b) an ACIS library from Spatial Corp; (c) Open Cascade technology from Open Cascade; and, most importantly, (d) Industry Foundation Classes (IFC) Release 2x2 from BuildingSMART IAI International.

In order to build new computable rules using Fornax, a computer expert in C++ must sit down with a domain exert and build the rules. This computable rule will then work with the Fornax library, but not on any other platform. It is unclear why the Singapore Authorities elected to use Fornax in addition to the EXPRESS based solution on offer with the EDM Model Server.

Fornax was on offer to interested parties, but its status is uncertain at the moment (Bell et al., 2009).

Website: <a href="http://www.novacitynets.com/fornax/index.htm">http://www.novacitynets.com/fornax/index.htm</a>

Application	Owner organization
Avolve ProjectDox	Avolve Software

ProjectDox Eplan Review Software is a web-based, enterprise-class application. It can run as a stand-alone system, but typically ProjectDox is integrated with permitting and other government software applications and databases.

The ProjectDox core is built on the Windows .NET 2.0 development platform, making ProjectDox an open standards application that can be integrated with a wide-range of support programs and their services.



Avolve ProjectDox supports most standard CAD formats. It is unclear whether the application supports the IFC format.

Website: http://www.avolvesoftware.com/projectdox/electronic-plan-review/



Application	Owner organization
REScheck <sup>™</sup> , COMcheck <sup>™</sup>	Department of Energy, US

REScheck (Residential Compliance) and COMcheck (Commercial Compliance) was developed and published by the US Department of Energy. The applications goal is to allow anyone to check a buildings energy performance against the applicable energy standards, e.g. IECC and ASHRAE Standards 90.1. Both applications have all criteria hard-coded into the tools.



COMcheck 3.9.2 is the current version of COMcheck. REScheck 4.5.0.2 is the current version of REScheck. The user can download the applications or use an online version. Both free of charge.



The COMcheck and REScheck user interface gives the user an ability to review, verify, and document mandatory and prescriptive energy code requirements that are listed in the Inspection Checklist.

The US General Service Administration (GSA) Courts Design Guide automation project also incorporates an independent rule-set manually derived from the textual standards.

Website: <a href="http://www.energycodes.gov/compliance/tools">http://www.energycodes.gov/compliance/tools</a>

Application	Owner organization
Bentley Design++	Bentley Systems, Incorporated

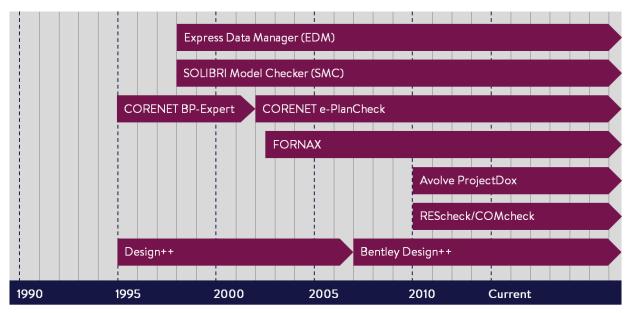
Design++ is an expert system developed in the nineties by Design Power. In 2007 Bentley Systems Inc acquired Design Power. The current version is Bentley Design++ V8i.



Design++ is a knowledge-based, multi-dimensional engineering solver. Design++ captures in-house engineering expertise through flexibly extended business rules to automate design iterations in engineering platforms such as Bentley's MicroStation. It has been incorporated into several commercial applications such as Bluethink's House Designer. The rule-set is coded into the application and consequently needs to be managed within the application.

Website: <a href="http://www.bentley.com/en-US/Products/Design/">http://www.bentley.com/en-US/Products/Design/</a>

Figure 12: Timeline of development of compliance-checking applications. Based on Dimyadi and Amor (2013a).





# 4. REGIONAL INITIATIVES FOR DEVELOPING APPLICATION AND PERMISSION PLATFORMS

Over the last few decades, especially since 1990, there has been an extensive amount of research conducted in the field of computerized compliance-checking for the AEC industry and several initiatives have been begun to develop solutions to make this technology operational. Initiatives are coming both from government agencies and commercial players.

The recent adoption of BIM, the standardization work done by buildingSMART and the push for innovation and increased productivity within the AEC industry are preparing the ground and making automatic, digitized building application and permission platforms more viable than ever.

This chapter contains a review of existing initiatives to develop application and permission platforms relevant to the development of ByggNett. The chapter is structured on a geographic basis.

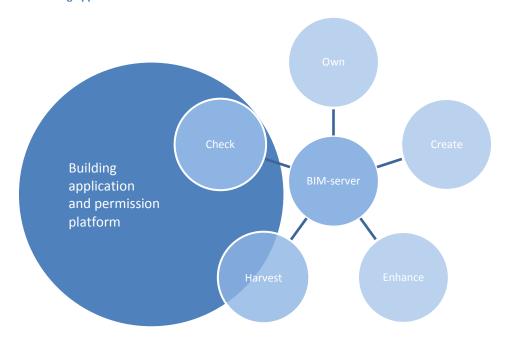


Figure 13: The building application in context



## **NORWAY**

#### **REGIONAL AEC INDUSTRY**

The AEC industry is the second largest sector in Norway in terms of revenue and number of employees. The Norwegian AEC industry employs approximately 200 000 employees in 51 000 companies, with a total revenue of NOK 362 billion (Statistisk Sentralbyrå (SSB), 2011).

The revenue for the hundred largest companies is approximately 30 percent of the total revenue for the AEC industry in Norway (Byggeindustrien, 2011). This is the result of an industry which is fragmented, consisting of numerous small companies.

Several types of contracts are in use, but the turnkey contract is the most common in large projects. In recent years integrated contracts have also been introduced. These are contracts where the contractor is involved in the development of the project. Often there is a sharing of profit or loss relative to a target price.

In turnkey contracts it is common that the main company employs craftsmen working with concrete and timber, while contracts for M&E (mechanical and electrical work) are conducted by individual firms or overall technical suppliers.

The architect, advisors and consultants are all involved in design. In turnkey contracts the contractor is involved during the design phase, while in bid-build contracts the contractor is solely responsible for constructing the building.

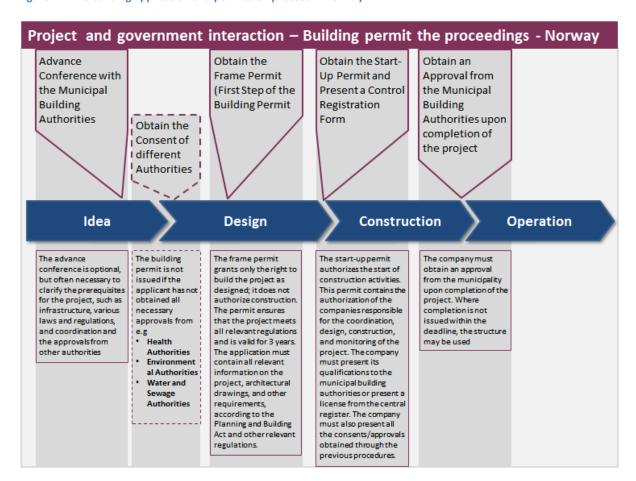
The project owners and developers in the Norwegian building and construction industry are both public and private players. The main difference is that the government has a long-term perspective on investment, while private investors' perspectives vary. The use of public funds requires greater documentation related to quality assurance, engineering and cost-benefit analyzes, compared with private developers.

#### THE BUILDING APPLICATION AND PERMISSION PROCESS

Figure 14 provides an overview of the building application and permission process in Norway (The World Bank - International Finance Corporation (IFC), 2012).



Figure 14: The building application and permission process in Norway



#### ADOPTION AND IMPLEMENTATION OF BIM

Statsbygg, the public property developer and owner, and buildingSMART Norway are the main champions of BIM implementation in Norway. Statsbygg has published the Statsbygg BIM Manual. The manual contains generic requirements for BIM in projects and facilities with the purpose of describing Statsbygg's requirements in terms of BIM using the IFC format. The current issue of the manual is Version 1.2 (Statsbygg, 2011).

Over the last five to ten years the adaptation of BIM has increased greatly in Norway. Architects are the primary adopters, followed by engineers and contractors. Most large construction projects are currently designed using BIM. In addition to traditional design tasks, BIM is used for collision control in the design phase and the production of quantity-related data for cost calculation. During on-site construction, BIM is used to a small extent. The same goes for BIM use in the building operation phase.

Norway is among the first few countries in world to adopt the buildingSMART reference library, bSDD.



#### The National Museum of Art, Architecture and Design

The National Museum in Oslo is the world's first construction project with an international architectural contest requiring openBIM. The project is currently in the detailed design phase and scheduled to be finished in 2019.

The projects website contains extensive descriptions, footage and videos (only available in Norwegian): <a href="http://statsbygg.no/Byggeprosjekter/Nasjonalmuseet/">http://statsbygg.no/Byggeprosjekter/Nasjonalmuseet/</a>



(Illustration reprinted with permission from Statsbygg.)

## INITIATIVES FOR AUTOMATIC BUILDING APPLICATION AND PERMISSION PLATFORMS

## ByggSøk

ByggSøk is the Norwegian solution for electronic communication in building application processing (DiBK, 2011). The first version was launched on July 1, 2003. The current version (Version 3.2) was launched on January 7, 2013. ByggSøk is divided into three separate solutions: ByggSøk information, ByggSøk planning and ByggSøk building.

<u>ByggSøk information</u> works as an information provider for users. This is a one-way information channel.

ByggSøk planning is a web-application developed to serve the application for zoning plan proposals (Jotne EPM Technology, 2012). The application enables electronic filling in and submission of zoning proposals over the Internet, in accordance with the Planning and Building Act (PBL). The ByggSøk planning initiative was shelved in 2010.

ByggSøk building is a web application developed for applications for building permits. The application enables electronic filling in and submission of building applications over the Internet, in accordance with the Planning and Building Act (PBL). The applicant is guided through the application process and if necessary receives help, and the application verifies that all fields in the form are filled in before submission. Finished applications are submitted by email with digital, static attachments. Applications are processed in the same way as traditional applications. Use of ByggSøk is free of charge. It is possible for local authorities to integrate ByggSøk with existing GIS solutions for maps, estate information, neighbors, etc. ByggSøk is defined as a semi-automatic solution. ByggSøk will contribute to standardization, simplification and streamlining of the planning and building process.

#### Unified classification system for the AEC sector

A report commissioned by Standards Norway, shows the diversity of AEC classifications in Norway and internationally. The report calls for a more holistic classification in Norway and stresses the need to link the national tables up to that employed in the Nordic countries and internationally. The report is the basis and background for the creation of Standards Norwegian's Committee for classification in the construction industry. The committee's main goal is to prepare a proposal for the development of Norwegian standards for a unified classification for the AEC industry in Norway. The Committee will revise NS 3457 and create a table for spatial functions (Standards Norway, 2013).



The technical specification of ByggSøk was drawn up internally by the Norwegian Building Authority (Asplan Viak, 2011). Development was contracted to EPM Jotne Technology and the programming was primarily completed by one developer employed by EPM Jotne in Russia. HTML, PDF, FTP and XML files can be exported. ByggSøk has a three-layer architecture: database, server application and user interface. The system uses a primary SQL database with transaction support. Any data exchange is done using the XML format. ByggSøk allows for data to be printed on special purpose forms.

In 2012 110 000 applications were submitted to the local building authority in Norway. Of these approximately 63 percent were submitted through ByggSøk. Figure 15 shows the distribution of ByggSøk from 2005 to 2012 (Hjelseth, 2013).

Distribution in use of ByggSøk 2005 - 2012 70 60 % of total 50 applications 40 % digital submitted 30 20 % of local building authorities 10 0 2005 2006 2007 2008 2009 2010 2011 2012

Figure 15: Distribution in use of ByggSøk 2005-2012

The figure shows that while the percentage of total applications submitted through ByggSøk has increased steadily since 2005, the percentage of digitally submitted applications shows no increase. The Norwegian Building Authority points out two possible explanations (Hjelseth, 2013):

- A large amount of information from different sources has to be digitized (applicant side);
- Lack of systems to process applications digitally (building authority side).

## ByggLett

ByggLett is an initiative closely related to ByggSøk. ByggLett is a pilot project with a vision of preparing the ground for future development of ByggNett through small successes and incremental development. The project is delimitated to developing an automatic web-application for basic building application processes, in effect garages and sheds. Currently ByggLett is only an outline for a future solution.

#### Automated compliance-checking – checking BIM models against Norwegian standards

Catenda and ICE-consult are conducting a pilot project on assignment from Standards Norway. The aim of the projects is to develop a solution for automated compliance-checking against EN 15978 for open BIM. The pilot should be scalable, i.e. be applicable to other standards. Easier use of standards will streamline the construction process and unsure the use of best practices.





#### **Workshop with Norwegian AEC industry actors**

As a part of the status survey a selection of actors across the Norwegian AEC industry were collected at two workshops. The workshops took place in October 2013. The participating organizations are presented through the logos on the bottom of this page. In the following we present the main findings.

#### **CURRENT APPLICATION AND PERMISSION PROCESS**

The current application and permission process is inefficient. A lot of time is spent already in choosing what application form that should be used. When 450 local authorities shall manage the regulations in their own manner the process becomes unpredictable. The outcome of an application often seems to be left to discretionary and personal preferences. The risk associated with time consumption and outcome of the application process must be borne by the project owner.

ByggNett should create an intuitive and uncomplicated user interface on both the applicant and the building authority side. The new platform should contribute to streamlining the process, making it less time consuming and more predictable.

#### BIM

Adoption of BIM in the Norwegian AEC industry has come a long way in a relatively short period of time. BIM is today in use in most large construction projects. Especially public project owner have awareness and are requiring architects, engineers and contractors to use BIM. Architects and engineers have adopted BIM to a greater extent than contractors and FM professionals. Furthermore the building construction sector seems to be more mature than the infrastructure sector, which to a greater extent is reliant on proprietary solutions. In addition to traditional design tasks BIM is used for collision control, energy analysis and extraction of quantity for cost calculation. As for the rest of the world Norwegian industry actors experience the social and cultural aspects of technology adoption to be the largest challenge.

We asked the AEC industry actors to identify the most important barriers and drivers for the adoption and implementation of BIM. The top four of both are listed here.

#### BIM - DRIVERS

- Requirements from public project owners
- Requirements from building authorities
- Effective and agile design process
- Job satisfaction and recruitment

#### BIM - BARRIERS

- Conservative culture
- Fragmented industry
- Lack of competence
- Current contractual standards







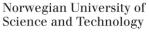
























# NORDIC COUNTRIES (EXCEPT NORWAY)

### **REGIONAL AEC INDUSTRY**

#### Denmark

The building and construction industry is one of the main industries in the Danish business sector. The industry maintains and develops Denmark's buildings and infrastructure, which together represent 80 percent of Denmark's assets. The Danish Construction Association comprises approximately 6,000 Danish companies in the building and infrastructure industries, which together employ around 70,000 people (Ministry of Buisness and Groth, 2013).

The Palaces and Properties Agency, the Danish University and Property Agency and Defense Construction Service are the main public property owners in Denmark.

#### Sweden

The construction industry is essential for the development and prosperity of Sweden. Its turnover in recent years has been about SEK 500 billion. The built environment accounts for about half of national wealth. In 2012, there were 312,000 employed in the Swedish construction industry and building investment was SEK 309 billion, representing approximately 9 percent of GDP. The industry covers contractors, property management, manufacturers of building materials, architects and technical consultants. The industry consists of about 94,000 companies of which 87 percent had fewer than five employees. The ten largest companies employ 44 000 people and have annual revenues totaling SEK 133 billion (Sveriges Byggindustrier, 2013).

# **Finland**

In the Finnish construction industry about 140 000 workers (100 000 blue collar workers) are employed in 30,000 companies. In recent years these figures have remained very stable. Since 2004 the development of the construction industry has been characterized by steady growth of between 3 percent and 6 percent, though after the start of the new century stagnation was apparent (European Federation of Builder and Woodworkers, 2010-2011).

#### **Iceland**

The Icelandic building industry was severely affected by the economic situation around 2008. Following this, several companies struggled financially and consequently declared bankruptcy. As an example, Istak, one of the leading Icelandic contractors, was taken over by Landsbanki (BNL, 2013). As a result of its economic problems, Iceland has experienced considerable labor emigration to other countries, among them Norway.

The economic situation in Iceland is still difficult and consumption remains low. The country still has heavy debt at the national and municipal levels. This is limiting building activity greatly. The bright spot in the Icelandic economy is the tourism industry, with expected growth of 6 to 7 percent over the next ten years. Hopefully this will have a positive impact on the construction industry. Although employment is high in Iceland, it is hard to find employment that requires education. It is not uncommon for highly educated people to take jobs that do not require education.

Around 1500 new homes should be built on the island every year, but only around 500 per year have been built. This will create a backlog that will eventually provide growth for the construction industry.



Central players in the Icelandic AEC sector are the public building owner and developer, Government Construction Contracting Agency (GCCA), and the Icelandic building authorities, Icelandic Construction Agency (ICA).

#### ADOPTION AND IMPLEMENTATION OF BIM

#### Denmark

Denmark's level of development in the overall implementation of BIM has been promising compared with the other Scandinavian countries, which are themselves ahead of most countries.

The Palaces and Properties Agency, the Danish University and Property Agency and Defense Construction Service have initiated efforts to implement and use BIM in the Danish EAC sector (Wong et al., 2009).

As from 2013 Denmark requires all public construction projects costing of DK 5 million or more, excluding tax, to use BIM (Retsinformation.dk, 2013).

The Danish government initiated and funded the Digital Construction (Det Digitale Byggeri) program. It ran from 2005 to 2011. The aim was to implement ICT communication such as electronic tendering, project web, BIM, and electronic hand-over. The Digital Construction program published BIM guidelines similar to the manuals in Norway, Finland and the UK.

#### Sweden

Some key AEC technology vendors such as Tekla and Solibri are based in the Nordic countries. As a result, these countries were among the earliest to adopt model-based design, and also pushed for interoperability and open standards in AEC technology, as drawn up primarily by the IFC.

Thus while there is no official government requirement for the use of BIM in these countries, it seems to have grown up on its own in response to the need of AEC firms for technology more advanced than drawing-based CAD files for designing and constructing the kind of buildings that were needed in this region (AECBytes, 2012).

Sweden is trying to promote BIM and it is currently developing an information handbook on IFC standards. It is involved in a two-year ERAbuild project with Finland, using web services and model servers.

In Sweden there is no, or very little, development related to BIM and IFC by public owners, compared with other Nordic countries. However in Sweden the major contractors play an important role in the construction sector and have most likely influenced the use of BIM in Sweden (Mulenga and Han, 2010).

# **Finland**

In 1997 the R&D program Information Networking in the Construction Process (Vera) was initiated in Finland. It continued for six years at a total cost of EUR 47 million (Vera, 2002). Professor Arto Kiviniemi, currently based at the University of Salford, Manchester, was the program manager. The target of the program was to promote implementation of IT in the construction process, and it is one of the main reasons for Finland's position at the frontier of BIM internationally.



Finland has progressed beyond the pilot phase. Several international studies conclude that Finland is the leading country in BIM implementation worldwide. In a survey conducted in 2007, the use of BIM and IFC-compliant BIM applications in Finland was estimated to be 33 percent. In the same survey, it was observed that in Finland 93 percent of architecture firms were using BIM for some part of their projects, whereas engineers' use was nearly 60 percent (Wong et al., 2009). This was far ahead of most countries in 2007.

Senate Properties is the public building owner in Finland. It is the country's largest and most comprehensive provider of property services. Since 2001 Senate Properties has carried out a number of pilot projects to develop and study the use of building information models. On October 1, 2007 Senate Properties decided to require models meeting the IFC standards in its projects. They have also provided modeling guidelines for data content requirements for models for the participants in projects at each stage of the design (Wong et al., 2009). These BIM guidelines contain general operation procedures for BIM projects and specify detailed requirements for building information models (Mulenga and Han, 2010). The BIM guidelines are the result of the ProIT R&D project.

Both universities and private companies are running extensive R&D projects. Among the central commercial players are Solibri, Skanska and Tekes. The Association of Finnish Contractors is also active in promoting implementation of BIM. Among the universities, Helsinki University of Technology and Tampere University of Technology are central.

Through the interviews with AEC professionals it has become clear that Finland is viewed as a global leader in BIM implementation.

# **Iceland**

"When I went to north Iceland to work for three years in 2009 I was afraid I was going to lose the BIM train, but when I returned to Reykjavik in 2012 it was still at the station."

Elvar Ingi Johannesson, EFLA Consulting Engineers

Compared with other Nordic countries, Iceland has less of a tradition of state intervention when it comes to implementation of new technology. However in 2008 a project was begun called BIM Iceland – initiated by eight public organizations, among these GCCA and ICA. BIM Iceland was funded and planned to run for three years, but only ran for two years due to a lack of financial support. Some organizations are nevertheless promoting BIM individually (Jensen and Jóhannesson, 2013). Haraldur Arnórsson (GCCA) and Ingibjørg Birna Kjartansdottir have recently restarted BIM Iceland. BIM Iceland's webpage, www.bim.is, is now under construction.

Results from a survey completed in 2009 show that the level of computerization in the Icelandic building industry is in general very high, but the use of BIM is at the same time surprisingly low. Manual drafting is low in all Nordic countries and Iceland has the highest use of CAD, but very limited use of BIM and IFC compliant BIM. Comparison of these results with a Scandinavian survey (Arto Kiviniemi et al., 2008) indicates that Icelandic companies use BIM less than in Scandinavia (Jensen and Jóhannesson, 2013).

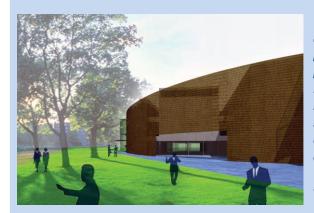
The main finding of Jensen and Johannesson (2013) are:



- Iceland lagged behind Denmark in BIM adoption. Denmark was at the time running the Digital Construction project and adopting BIM rapidly.
- Those companies adopting BIM tended to implement it and use it in a hurry, resulting in problems. They saw BIM implementation as a transition from one software to another. They thought it could take place without any problems.

GCCA is looking to other Nordic countries for existing standards, especially as regards an information delivery manual.

## **Pilot Project: Center for Icelandic Studies**



The Center for Icelandic Studies is a pilot project in several aspects. It is one of the first Icelandic projects where the design process is carried out in BIM. Moreover, it is the first Icelandic project using tools developed by bips (Byggeri, Informationsteknologi, Produktivitet, Samarbejde), a Danish membership organization of companies within the construction sector (Valdimarsson and Kadmark, unknown). For more detail about bips, see chapter about Denmark and Initiatives for automatic buildings application and permission platforms.

# THE BUILDING APPLICATION AND PERMISSION PROCESS

Figure 16 provides an overview of the building application and permission process in Denmark (The World Bank - International Finance Corporation (IFC), 2012).

Figure 17 provides an overview of the building application and permission process in Sweden (The World Bank - International Finance Corporation (IFC), 2012).

Figure 18 provides an overview of the building application and permission process in Finland (The World Bank - International Finance Corporation (IFC), 2012).

Figure 19 provides an overview of the building application and permission process in Iceland (The World Bank - International Finance Corporation (IFC), 2012).



Figure 16: The building application and permission process in Denmark

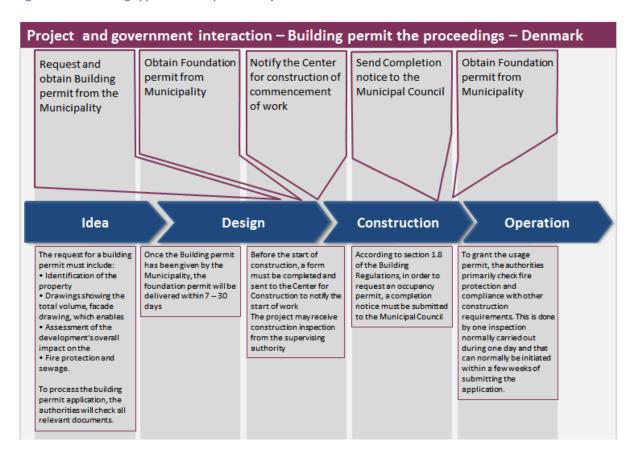


Figure 17: The building application and permission process in Sweden

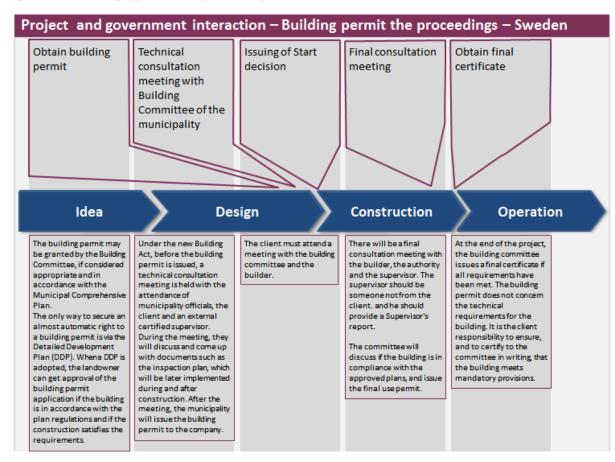




Figure 18: The building application and permission process in Finland

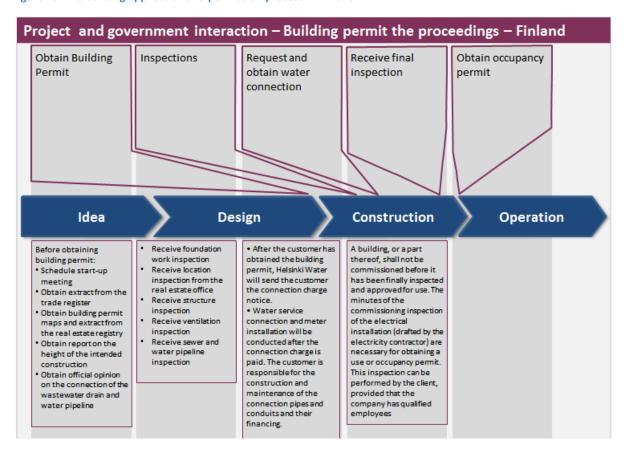
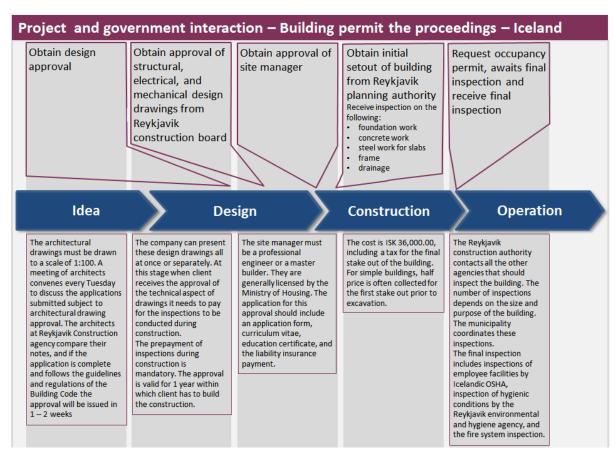


Figure 19: The building application and permission process in Iceland





# INITIATIVES FOR AUTOMATIC BUILDING APPLICATION AND PERMISSION PLATFORMS

#### **Denmark**

Although Denmark has come a long way in adoption and implementation of BIM in the AEC sector, it does not seem to have an active initiative to develop a platform for automated building application and permission. Still, the focus on reaping the benefits of the implementation of information technology in construction projects is very much apparent.

### **Bips**

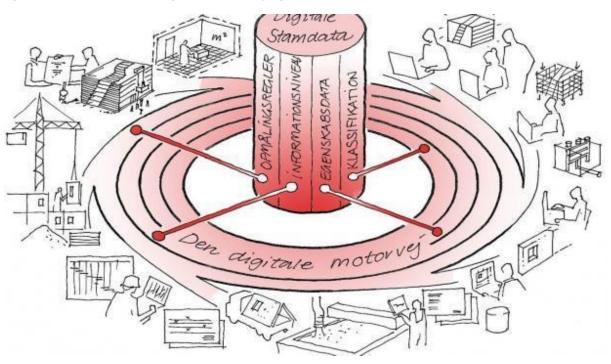
Bips is a non-profit organization working for industry-wide implementation of information technology, productivity and collaboration (bips, 2011). The aim of the initiative is to enhance productivity and collaboration in the Danish AEC sector through development of digital infrastructure and standardized use of information.



Bips inherited the results from the Digital Construction program when it ended in 2011. These included extensive information on the use of BIM in all phases of construction projects, from BIM-based energy analysis and cost calculation, to use of BIM in the construction phase. The information has been collated in a series of case studies and guidelines. Everything is available free of charge at the bips homepage.

Cuneco is a research center run under the management of bips. The Cuneco center is developing a common basis for digital collaboration in the AEC industry, with the aim of enhancing the efficiency and productivity of the construction industry. The product of the Cuneco initiative will be digital standards and tools for data exchange available free of charge for all industry actors (Cuneco, 2011). The Cuneco project shares many of the same goals as the ByggNett initiative. The Cuneco project is scheduled to end in 2014.







#### Sweden

We have not identified a national initiative to develop automated building application and permission in Sweden. Meanwhile there are a large number of planning activities going on, to prepare for better processes in this area. Among these is the initiative Digital Collaboration (Digital samverkan) (Boverket, 2013a).

There are also a large number of distributed initiatives on regional levels that actually have made operative achievements in making the processes more effective. Among these initiatives is "Riges", a collaboration between five municipalities in Vesternorrland where they have high expectations to redesign of work processes and use of electronic submission. The projects are well documented; EU sponsored, and part of the national preparation for stepping up to the next level of performance. There are 53 municipalities that have created and are connected to a portal named "Mittbygg.se". It provides an e-service connected to the building lifescycle. Another municipality alliance is "Bygglovsalliansen" that also focuses on better processes related to deployment of building regulations. An ongoing initiative is "Bygglovsguiden" where they deploy a web based solution to support the building parties in handling and interpreting building regulations.

On national level Sweden has conducted the second phase of the project "Sammanhållet, myndighetsovergripande, digitalisert bygglov". This project is investigating the practical prerequisites and consequences related to implementing national-wide digital legislation. There is an ongoing government initiative called "En effektivare plan-ock bygglovsprosess" (Boverket, 2013b). "Styrmedel for utveckling av sammanhållande digital planprocess" is also an interesting government initiative. Both of these initiatives are aiming on how to plan for and take out the benefits from support of digital tools. However Sweden has a longer way to go on standardization on many levels and areas.

# **Finland**

Despite Finland's position as a leading adopter of BIM, it appears that there is no current Finnish government initiative to develop a platform for automatic building application and permission. Nonetheless the country can offer solutions that are potentially of interest for the ByggNett initiative.

The Department of Civil and Structural Engineering at Aalto University in Helsinki has an ongoing research program on BIM, the Aalto University BIM Research Group. It has two current projects: BIM and Facilities Management and BIM and Lean Construction (Aalto University BIM Research Group, 2013).

## Solibri Model Checker

Solibri Model Checker is a software solution that analyzes BIMs for integrity, quality and physical safety. The software was developed by Solibri Inc., a Finnish company established in 1999. The current version of Solibri Model Checker is V9, launched on the October 3, 2013. Solibri Inc. has a patent pending on the SMC software (Solibri Inc., 2013).





SMC is based on Java, and they have built their own rule engine around this. SMC software was initially developed as a tool for quality assurance and validation of BIM models (Dimyadi and Amor, 2013a). SMC has since developed into a stand-alone, graphically driven, rule-based compliance-checking and reporting application. The application has a set of built-in rules that can be managed and configured by a rule-set manager. SMC is often referred to as a Constraint Set Manager (SMC).

The rule-sets can be changed, but user customization is limited. SMC defines highly focused constraints with the Constraint Set Manager for encoding design requirements and as a result, descriptions are inflexible (Ding et al., 2004). Some flexibility is provided by the ability to set parameter values, however, the parameters are somewhat narrow and not available for all types of constraints. The SMC rule base does not contain constraints that check relations between building elements other than constraints for Interference Checking. Nor does it allow encoding of interpretations for performance requirements.

If the user is interested in custom rules Solibri offers to work together with the user. This requires experts from Solibri, as well as experts on the rules to be developed and implemented, to work together (Bell et al., 2009). Although rules can be customized by SMC experts, the proprietary hard-code probably will make updates inefficient and resource demanding.

SMC provides a direct interface with CAD software through IFC which allows designers to modify designs in conjunction with compliance at real time (Ding et al., 2004).

A recent research project concluded that Solibri Model Checker is well suited for BIM-based, automated safety code-checking. The strength of using Solibri Model Checker as a BIM-based tool is its capacity to use the IFC data exchange format, which makes the checking independent from BIM-based software used for modeling (Sulankivi et al., 2013).

Many of the industry players interviewed in this survey mentioned Solibri Model Checker as the most mature solution for BIM-based automated rule-checking.

Solibri Inc. is involved in several research projects, among them the US AutoCodes project run by Fiatech.

# VTT

VTT Technical Research Center of Finland is the largest multitechnological research organization in Northern Europe (VTT, 2012). The organization has 3 000 employees and annual revenue of approximately EUR 316 million. VTT is a non-profit organization under the auspices of the Ministry of Employment and Economy.



VTT has been researching building product models, or BIM, since the late 1980s and actively took part in establishing the International Alliance of Interoperability (AIA) in 1996 (Wong et al., 2009). VTT focuses on technical issues related to downstream applications, tools that utilize the information in the models, such as different analysis, simulation and process management applications. Energy and environmental analysis tools are given special attention because these tools can reliably evaluate the environmental impacts or lifecycle costs of buildings through robust analyses and simulations. Researchers from VTT used BIM and web service integration technology to develop CS Collaborator,



a solution for real-time information-sharing to address the problem of lack of information transparency in the construction supply chain (Wong et al., 2009).

#### **Iceland**

Prior to the financial crisis there were several initiatives to implement BIM in the Icelandic AEC sector and reap the benefits that can be achieved. It is obvious that most of these initiatives were paused or canceled as a result of the crisis. Today optimism is slowly returning to the market and a trend towards modest growth within the AEC sector can be seen. The Icelandic researchers interviewed claim that there are slightly more resources invested in building research today than a short time ago.

Icelandic initiatives are focused on BIM adoption and implementation. This is believed to be the result of Iceland's somewhat slow development in BIM adoption, lagging behind the other Nordic countries. This survey found no initiative towards developing an automatic building application and

permission platform in Iceland. There is an awareness of the issue and the potential gains from automated compliance-checking, but any focus on this seems to be further down the road.

"This is a task for large countries."

Björn Karlsson, Director General ICA

As a small country of 330 000 inhabitants, Iceland is dependent upon collaboration with other countries. The market is too small to finance development projects of any significant size on its own. This is noted as a barrier to starting projects, and a prerequisite for initiatives requiring funding, by all those interviewed in this survey. The focus seems to be aimed towards inter-Nordic collaboration, with strong relations especially with Denmark and Finland.

# **Construction Agency Portal**

This project was originally initiated by a demand from parliament (Alltinget) for quality assurance, issued in the form of a law. The Icelandic Construction Authority (ICA) then went to Oslo and Finland for meetings with the other Nordic countries, and in the following year applied for funding from The Nordic Council of Ministers (Nordisk ministerråd) of less than ISK 1 million, and started a collaboration project with Finland. That project is mainly financed by the EU. The ICA is very interested in how they can fund the project in the future.

A specification for the planned solution was written, called Requirement Analysis Document (RAD). The project's scope has since grown and today it includes electronic permission, property registry, registers for authorized designers/master craftsmen/construction managers, rules for quality management, insured personnel, etc.

The programming is being carried out by two developers in Iceland and three developers in Bulgaria. It is being done on a .net-basis. It is believed to be scalable.

A semi-automated electrical compliance checking portal has been developed and launched. The building permission portal is currently in the programming phase and is planned to have a solution launched in early 2015. The conclusion from the project is mainly that Iceland will follow others on the code-checking issue.



### **Innovation Center Iceland**

Innovation Center Iceland is owned by the Ministry of Industry and Innovation. It is the result of a merger between the Construction Research Institute and Industry Research Center. Innovation Center Iceland encourages innovation and promotes the advancement



of new ideas in the Icelandic economy by providing active participation and support to entrepreneurs and businesses (Innovation Center Iceland, 2014).

Gudni Gudnason started a BIM group within Innovation Center Iceland in 2006. The activity of this group has been low in the period following the financial crisis. There are currently plans to start a BIM lab where construction professionals can come to be educated in BIM and train together.

### **BIM Iceland**

As mentioned earlier in this chapter, BIM Iceland was founded in 2008, but shortly afterwards came to a halt due to lack of funding. The initiative has recently picked up again and may act as a catalyst for BIM within the Icelandic AEC sector. More information can be found at the webpage: <a href="https://www.bim.is">www.bim.is</a> (currently under construction).





# **GERMANY**

# **REGIONAL AEC INDUSTRY**

As is the case with the other countries surveyed, the construction sector in Germany is one of the country's most important economic sectors. The industry provides jobs for more than 2.5 million people in 391,000 companies. Holding a market share of about one-fifth, the German construction sector is the largest in the EU-28 in terms of building investments (IXPOS, 2014). Total volume of building investments in 2012 was around EUR 260 billion .

Engineering and manufacturing of technical goods has a long and strong tradition in Germany. The country is among the world's leading exporters of construction and planning services.

The AEC industry is closely related to the building material and machine manufacturer sectors. In March 2009, professional and industrial organizations, trade unions and government institutions agreed on guiding principles for the future development of the German construction industry.

Construction, especially of housing, is expected to rise. Based on increasing incomes, high employment levels, and historically low interest rates, the ZDB (German Construction Confederation) anticipates an increase in turnover in the housing market of nominally 3.4 percent to EUR 33.6 billion and the completion of 230 000 dwellings.

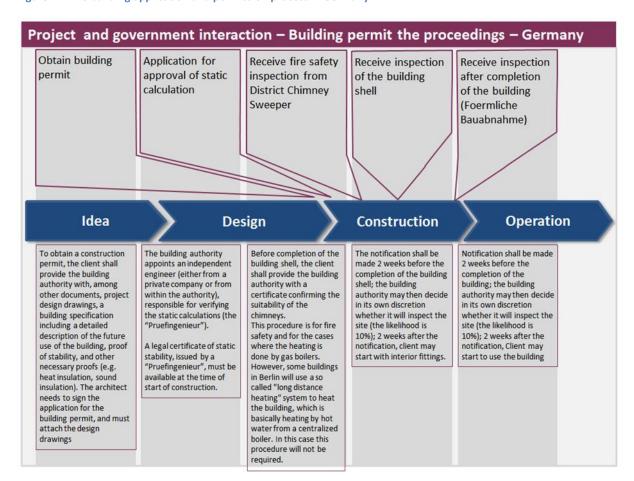
Germany is a federated state (Bundesland) consisting of 16, partly sovereign, constituent states (Länder). The states have their own regulations and their own way of organizing their building authorities. This is important to keep in mind when comparing Germany's AEC industry, BIM adoption and R&D initiatives with other countries.

# **BUILDING APPLICATION AND PERMISSION PROCESS**

Figure 21 provides an overview of the building application and permission process in Germany (The World Bank - International Finance Corporation (IFC), 2012).



Figure 21: The building application and permission process in Germany



# ADOPTION AND IMPLEMENTATION OF BIM

The implementation of BIM in Germany is still in its very early stages. Compared with the USA and the Nordic countries, the German AEC sector still has not fully recognized the potential of this method and technology. Establishing a specific action plan to improve this situation appears difficult because reliable analyses of the state of the application of BIM in Germany are still lacking (Kindsvater, 2012).

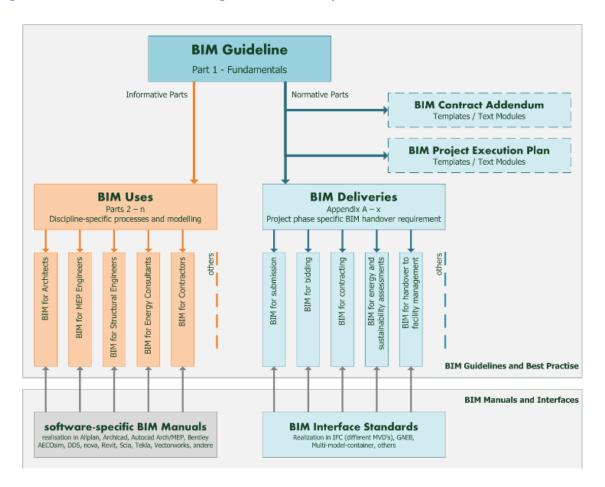
In Germany BIM is currently used predominately in projects driven by a few private, institutional clients. Public clients and authorities do not have sufficient experience of it and have not stated their requirements for utilizing BIM yet (Martin Egger et al., 2013).

The first German BIM guide was published in 2013 by the Federal Ministry for Transportation and Digital Infrastructure (buildingSMART, 2014). It was developed through the research program ZukunftBAU, and edited by Obermeyer Planen und Beraten & AEC3 Germany. The BIM guide offers recommendations and information for all those in Germany who are interested in using BIM. The guide indicates the possibilities according to the current state of technology and the general basic conditions of the German building industry. The BIM guide is a non-binding recommendation; it is not a mandatory directive to carry out construction projects using BIM. The guide ends with recommendations on how to proceed to develop the guide further into official BIM guidelines for



Germany and on what accompanying measures are deemed appropriate, see Figure 22 (Martin Egger et al., 2013).

Figure 22: Recommended structure for BIM guidelines for Germany.



One of Europe's leading BIM solution providers, Nemetschek Allplan, is located in Munich, Germany. They develop powerful software for architects, structural/civil engineers, contractors and facility managers. Their core product, Allplan, provides a



flexible and efficient toolset for the seamless planning of visionary architecture and innovative engineering projects. Currently available in 19 languages worldwide, Allplan covers every use level of a BIM-focused CAD system: from straightforward 2D drawings to 3D modeling, including quantity take-off and cost calculation. It also supports the OpenBIM initiative and the IFC standard to assure interoperability throughout the building's lifecycle. Further information is available at <a href="https://www.nemetschek-allplan.com">www.nemetschek-allplan.com</a> and <a href="https://www.nemetschek-allplan.com">www.nemetschek-allplan.com</a> and <a href="https://www.nemetschek-allplan.com">www.nemetschek-allplan.com</a>/bim.

McGraw-Hill Construction (2010) has estimated the adoption rate for BIM in Germany among construction professionals as 36 percent in 2010. As in the UK, adoption is led by architects (43 percent) followed by engineers (33 percent) and contractors (24 percent). German advanced and expert users (51 percent) outnumber beginners (17 percent) three to one. However, in a slight variance from the other countries surveyed, only 23 percent of German adopters began using BIM



before 2007. The majority (51 percent) began using BIM in the period between 2007 and 2010. The most recent phase of adoption is led by contractors (McGraw-Hill Construction, 2010).

# INITIATIVES FOR AUTOMATIC BUILDING APPLICATION AND PERMISSION PLATFORMS

Although the German AEC industry seems to be lagging a bit behind the UK, US and the Nordic countries in terms of BIM adoption and implementation, there is a noticeable focus on digitalization and new collaboration platforms. The main driver for this appears to be an attempt to reverse the negative productivity development in the AEC industry. The federal government has established a Digital Agenda which includes the AEC sector (buildingSMART, 2014).

The German Federal Government's Secretary for Construction and Traffic (BMVBS) recently established an initiative to improve the cost-efficiency and transparency of construction projects in Germany by setting up an expert committee to give advice. One of the subcommittees, AG BIM, will also give advice on BIM strategies.

# **BIM Task Group Germany**

On May 15 2014, the German Government Reform Commission, major players and professional associations took the decision to start the digitalization of the German building industry and established a German BIM Task Group (buildingSMART, 2014). The initiative is primarily aimed at large-scale construction projects, with a view to delivering projects on time and on budget through the opportunities represented by BIM and digital planning methods (BMVI, 2014). As this initiative is brand new, there is not much information about it available yet.

# **Bavarian Government FM Handover IFC Model View Definition**

The government initiative known as the Bavarian Government FM Handover IFC Model View Definition, a collaboration between the Bavarian government and 3D design, engineering and entertainment software provider Autodesk, has the intention of promoting open standard BIM solutions. The goal of this is to improve the performance of facilities by defining standards for the building information needed by owners for operations and by streamlining the exchange of that building data from project development and construction to handover (Autodesk, 2013).

# Level 3 BIM and iVEL

The Institute for Construction Informatics at the Technical University of Dresden is working on the solution for what they call Level 3 BIM: Intelligent Virtual Engineering Lab (iVEL). The aim is to extend BIM by adding knowledge management. The BIM is made more intelligent through applying multimodels (domain models linked together) and system ontology (Scherer, 2014). This can reduce the

amount of waste in the design and build process by removing the need for remodeling the building in domain software tools that are not currently connected. Interoperability and open solutions are central to the development.

"The hands are the slowest part of the human body."

Prof. Raimar Scherer, University of Dresden

The institute is collaborating with several organizations. Among these are:

Data Design Systems (Norway)



- Jotne EPM Technology (Norway)
- Granlund (Finland)
- Nemetscheck (Germany)
- Obermeyer Planen und Beraten (Germany)
- Royal BAM Group (UK)

Figure 23 gives a visual presentation of iVEL and what topics are investigated within the program.

Figure 23: Overview of the iVEL program (Scherer, 2014).

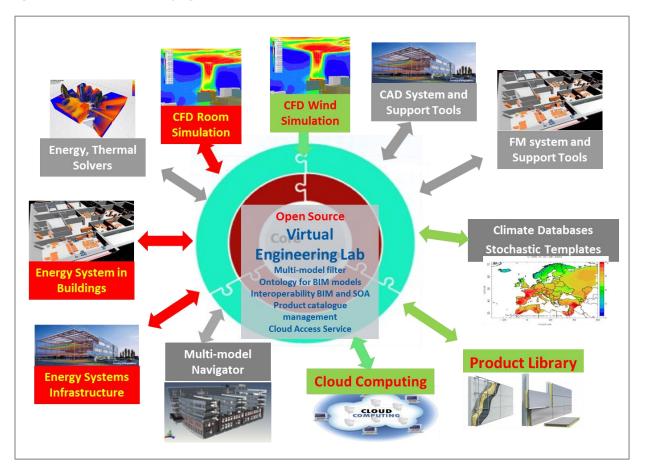
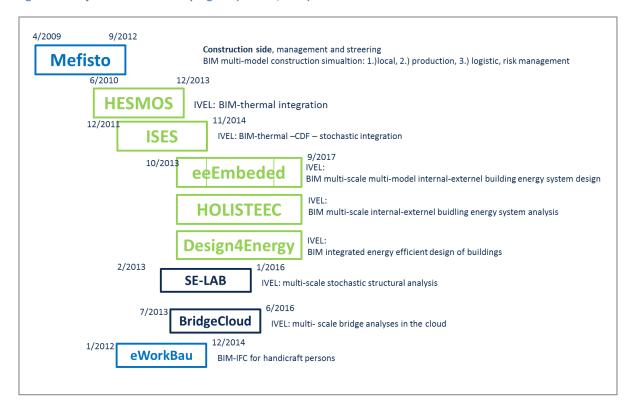


Figure 24 represents the projects laid out in time. In Figure 23 projects represented in blue are related to construction site production; projects represented in green are related to building energy design, and projects represented in black are related to structural design.



Figure 24: Projects within the iVEL program (Scherer, 2014).



Mefisto is perhaps the most interesting project mentioned here, in terms of the proposed goals for ByggNett. Mefisto is a flagship project of the Federal Ministry of Education and Research (BMBF) within the research program ICT 2020 -



Software Systems and Knowledge Technologies. Over the period from April 2009 to September 2012 12 partners from science and industry explored new solutions for IT-based planning and building (Mefisto Project, 2013). The aim of this was the development of a management system for implementing process-driven and risk-controlled handling of construction projects, through linking BIM to other performance models (cost, schedule, risk). It is unclear whether the Mefisto project is included in the iVEL program, or is a stand-alone project.



# UNITED KINGDOM

# **REGIONAL AEC INDUSTRY**

The construction sector is a key sector for the UK economy. Construction is one of the largest sectors of the UK economy. It contributes almost £90 billion (or 6.7 percent) in value added to the UK economy and comprises over 280 000 businesses involving some 2.93 million jobs, which is equivalent to about 10 percent of total UK employment.

The public sector is the UK construction sector's largest customer, contributing to approximately 40 percent of the sector's total expenditure (Cabinet Office, 2011).

The UK construction sector has been affected disproportionately since the recession of 2008. In 2007 the construction sector accounted for 8.9 percent of the UK's GVA (gross value added) but by 2011 the sector's contribution had decreased to 6.7 percent. In early 2012 the construction contracting industry returned to recession for the third time in 5 years.

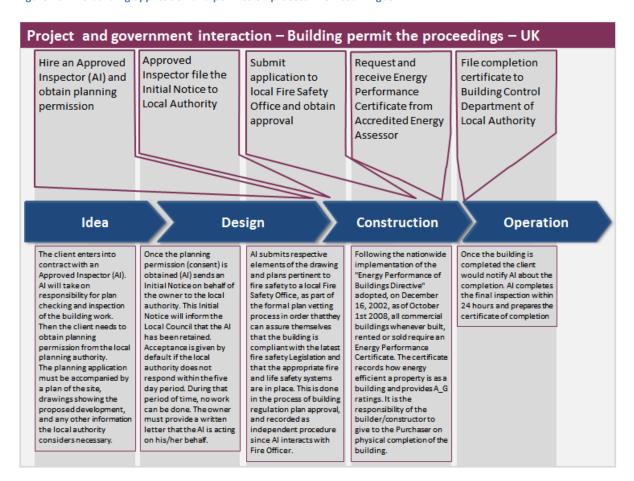
Despite the recent economic and financial crisis, which affected most of the developed economies, the UK construction contracting industry remains one of the largest in Europe, measured by employment, number of enterprises, and GVA. However, the UK construction industry is also more fragmented than its major European competitors and the evidence suggests it has higher levels of sub-contracting (Skills and Rees, 2013).

### THE BUILDING APPLICATION AND PERMISSION PROCESS

Figure 25 provides an overview of the building application and permission process UK (The World Bank - International Finance Corporation (IFC), 2012).



Figure 25: The building application and permission process in United Kingdom



#### ADOPTION AND IMPLEMENTATION OF BIM

BIM development and adoption is an important part of the UK government Construction Strategy released in May 2011. A central strategy objective is to require fully-collaborative 3D BIM (with all project and asset information, documentation and data being electronic) as a minimum by 2016 (Cabinet Office, 2011). There have been done several investigations into BIM adoption in the UK.

The US initiative COBie is currently being adapted to UK. COBie will be the UK government's required format for BIM data drops, as from 2016.

McGraw-Hill Construction (2010) estimated the adoption rate for BIM in the United Kingdom among construction professionals to 35 percent. Adoption is led by architects (60 percent), followed by engineers (39 percent) and contractors (23 percent). Among those that have adopted BIM, 45 percent believe they are advanced or expert and only 23 percent consider themselves beginners. This high level of BIM expertise corresponds with the fact that 38 percent of adopters have been using BIM for more than five years and 54 percent of adopters use BIM on 30 percent or more of projects. Thus, not surprisingly, BIM experience leads to BIM expertise, which then leads to willingness to use it more often on projects. However in the UK contractors have not fully embraced BIM. Only 7 percent of contractors use BIM on 30 percent or more of projects. As in North America, there is an indication that BIM use will surge among UK contractors with heavy use. 71 percent of UK adopters perceive a positive return on investment (ROI) from BIM, with 37 percent reporting ROI of 25 percent or more. 13 percent of UK adopters perceive negative ROI (McGraw-Hill Construction, 2010).

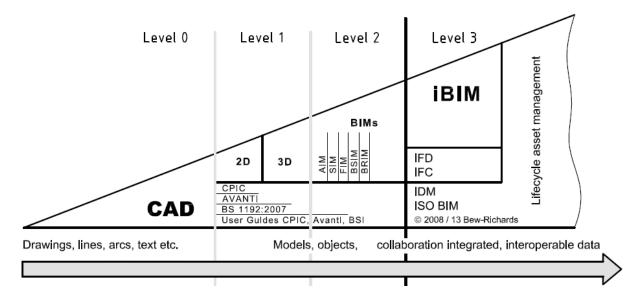


In the 2013 Smart Market Report on BIM adoption in Europe, McGraw-Hill Construction reports that UK users see the most value from BIM through:

- Reduced conflicts during construction (70 percent);
- Improved collective understanding of design intent (69 percent);
- Reduced changes during construction (60 percent).

BSI Group is a UK company in the field of business standards. They have published the BIM Roadmap in order to describe the activities of the BSI B/555 committee (Construction design, modeling and data exchange) in the immediate past, current and future in support of delivering clear guidance to the UK AEC industry (BSI Group, 2013). A central part of the BIM Roadmap is the BIM Maturity Model presented in Figure 26.

Figure 26: BIM Roadmap Maturity Model



The maturity levels are defined as follows:

- 0. Unmanaged CAD probably 2D, with paper (or electronic paper) as the most likely data exchange mechanism.
- 1. Managed CAD in 2 or 3D format using BS 1192:2007 with a collaboration tool providing a common data environment, possibly some standard data structures and formats. Commercial data managed by standalone finance and cost management packages with no integration.
- 2. Managed 3D environment held in separate discipline "BIM" tools with attached data. Commercial data managed by an ERP. Integration on the basis of proprietary interfaces or bespoke middleware could be regarded as "pBIM" (proprietary). The approach may utilise 4D Programme data and 5D cost elements.
- 3. Fully open process and data integration enabled by IFC / IFD. Managed by a collaborative model server. Could be regarded as iBIM or integrated BIM potentially employing concurrent engineering processes.



The UK BIM Task Group report on benefits from the use of BIM in construction projects. Benefits experienced are reduction of time and cost, as well as better design coordination and enhanced building quality (BIM Task Group, 2013b, Build Offsite, 2013).



The BIM Task Group are supporting and helping deliver the objectives set out by the Government Construction Strategy and the requirement to strengthen the public sector's capability in BIM implementation (BIM Task Group, 2013a). The aim is that all central government departments will be adopting, as a minimum, collaborative Level 2 BIM by 2016. The task group brings together expertise from industry, government, public sector, institutes and academia. The BIM Task Group is led by Mark Bew. The BIM Task Group is focusing its effort in six main working parties:

Training and education. The work package aims to raise the UK AEC sector's BIM awareness and skills.

COBie data set requirements. The work-package is documenting COBie 2.4 for use in the UK.

Plan of Works. The work package is establishing a collective understanding and work process for BIM.

BIM Technologies Alliance. Established to support and assist the Government's BIM Steering Group.

UK Contractors Group. The primary association for contractors operating in the UK.

Construction Products Association. A single voice for construction product manufacturers and suppliers.

The BIM Task Group program is supported by four work-streams. These are:

- 1. Stakeholder and media engagement
- 2. Delivery and productivity
- 3. Commercial and legal
- 4. Training and academia

# INITIATIVES FOR AUTOMATIC BUILDING APPLICATION AND PERMISSION PLATFORMS

# **Planning Portal**

Planning Portal is the UK government's online planning and building regulations resource for England and Wales. The aim of the service is to provide a one-stop-shop supplying answers, services and information to anyone involved in the planning process, from home owners and businesses to planning professionals and government officials. It is delivered by the Department of Communities and



Local Government (GOV.UK, 2013a). The current director of Planning Portal is Chris Kendall.

The portal offers a user interface organized in apps that can be defined and structured by all users with an account.



The project is funded by the UK Government. It is working to generate revenue to offset the costs of running its core business, which will help to reduce its dependence on central government funding.

There are more than 800,000 monthly visits to the Planning Portal, viewing more than 2,65 million pages of content. Its trusted content and services, including the online application service, are used by three main user groups: planning professionals, the general public and government users. The award-winning Planning Portal allows businesses to direct their products and services at the audience that's right for them (GOV.UK, 2013a).

<u>Smarter Planning</u> is a Planning Portal initiative to encourage professionals and local planning authorities to take full benefit of online working through adopting best practice guidelines. It encourages users to deliver a faster, more transparent planning application service using the Planning Portal and to become a 'Smarter Planning Champion' (GOV.UK, 2013a). The Smarter Planning initiative is divided into "Smarter Planning for local planning authorities" (LPA's) and "Smarter planning for industry professionals". It is claimed that:

# Smarter Planning will let LPAs:

- save time and resources;
- save money;
- reduce carbon.

### Smarter Planning will let planning agents:

- help local planning authorities process applications more efficiently;
- save time and money;
- reduce CO<sup>2</sup> throughout the process.





# THE UNITED STATES

# **REGIONAL AEC INDUSTRY**

As a result of the economic downturn the AEC industry has suffered severely across the United States. Today the American AEC industry consists of more than 700 000 companies and employs approximately 5,8 million people (United States Department of Labor - Bureau of Labor Statistics, 2013).

Common contracts types are: guaranteed maximum price, lump sum, unit price, cost plus, cost-reimbursable alternative and integrated project delivery/alliance. These different contract types take care of the extent of the risk for which the executing unit is responsible. The contractual relationship between client, architect, designer and contractor must take into account the peculiarities of the project and the contract type is selected on this basis.

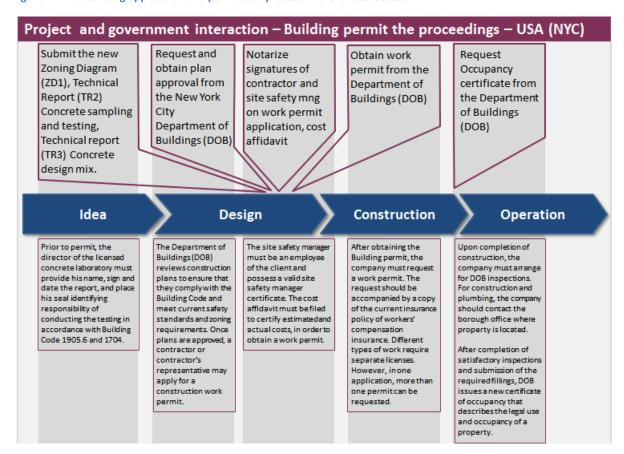
### **BUILDING APPLICATION AND PERMISSION PROCESS**

There are few building regulations at the federal level in the United States. It is mainly the local building authorities that decide what applies in each city when it comes to building regulations and requirements for building applications, etc. (The World Bank - International Finance Corporation (IFC), 2012).

In the interviews, New York City has been pointed out as one of the early adaptors and leaders when it comes to development in the US AEC industry. Figure 27 presents the building and application process in New York City.



Figure 27: The building application and permission process in the United States



# ADOPTION AND IMPLEMENTATION OF BIM

The adoption of BIM in the US has come a long way in few years. The development is mainly driven by R&D in software vendors and commercial interests, i.e. a perception that adoption and implementation of BIM in the AEC industry will be profitable and will become a prerequisite for competitiveness in the future.

In 2003 the General Services Administration (GSA) established the National 3D-4D-BIM Program (General Services Administration, 2013). The program is currently exploring the use of BIM technology throughout a project's lifecycle in the following areas: spatial program validation, 4D phasing, laser scanning, energy and sustainability, circulation and security validation and building elements. The GSA has published the GSA BIM Guide.

National BIM Standard – United States<sup>TM</sup> (NBIMS-US<sup>TM</sup>) – is an initiative of the National Institute of Building Sciences (NIBS). It seeks to provide consensus-based standards through referencing existing standards, documenting information exchanges and delivering best business practices for the entire built environment (National Institute of Building Sciences, 2013).

The Construction Industry Institute (CII) has published the BIM Projects Execution Planning Guide, Version 2.0. The goal of the execution plan is to ensure that all parties are clearly aware of the opportunities and responsibilities associated with the incorporation of BIM into the project workflow (Construction Industry Institute, 2013).



The use of BIM in the AEC industry is measured by the McGraw-Hill Smart Market report. In the United States the adoption of BIM has increased from 28 percent in 2007 to 71 percent in 2012 (McGraw-Hill, 2012, McGraw-Hill Construction, 2012). It is clear that the size of the company affects the adoption and implementation of BIM. 90 percent of large to medium-sized companies in the AEC industry are engaged in BIM compared with less than half (49 percent) of small ones. The activities of the various stages where BIM is used are: Design — establishing model, analysis of mechanical systems with design review, construction-related activities collision control and practical planning of the location of mechanical plant and equipment. There is little use of BIM in the building's operational phase. The construction and operation phase are where the use of BIM is the least advanced.

### INITIATIVES FOR AUTOMATIC BUILDING APPLICATION AND PERMISSION PLATFORMS

As mentioned earlier, the US differs from the other countries discussed in this report as it is made up of 50 states, each with a high degree of autonomy. The federal government is very little or not at all involved in the building permission and application processes. All players interviewed in the US believe that an initiative to develop an automatic building application and permission platform must have its origin in one of the big cities. New York and Chicago are mentioned as candidates for taking the lead in this.

Though there is no central government initiative, some relevant US projects should be mentioned.

ResCheck (Residential Compliance) and ComCheck (Commercial Compliance) were developed and published by the US Department of Energy. The goal of the applications was to allow anyone to check a building's energy performance against the applicable energy standards, e.g. IECC and AHRAE Standards 90.1.

Both ResCheck and ComCheck have all criteria hard-coded into the tools.

The US General Service Administration (GSA) Courts Design Guide automation project also incorporates an independent rule-set manually derived from the textual standards (Dimyadi and Amor, 2013a).

### **SMARTcodes**

SMARTcodes was introduced by the International Code Council (ICC) in 2006 (Dimyadi and Amor, 2013a). ICC develops model codes and writes many of the US building codes.



The desired outcome of the initiative was an understanding of how automated checking for compliance with building regulations, codes, standards, guidelines and other documents can be implemented using building information models (See, 2008). SMARTcodes contained official representations of a few central standards and provided the legislative body with a tool to manage the amendment of codes.



The basis of the SMARTcodes initiative has much in common with the ByggNett idea. The most important part of the SMARTcodes is the process itself, not so much the tools involved (Bell et al., 2009). BIM has been placed at the center of building design, construction and operation. BIM should be a shared knowledge-source or database that can be seamlessly used by all involved parties throughout the building's lifetime. Compliance-checking should be developed into a more circular and integrated process, manpower resources should be used more effectively, and the probability of errors should be decreased.



Digital Alchemy was contracted by ICC to develop SMARTcodes. The UK/German company AEC3 was also involved in the development. The system is based on a mark-up concept and use of open BIM. A proof of concept implementation for the system was developed and demonstrated in several venues in 2007 and 2008.

The central technologies in SMARTcodes are; the SMARTcodes protocol and algorithms converting color coded markup to IFC Constraint rules (Bell et al., 2009).

Through a case study at General Motors, SMARTcodes claim to have resulted in 27 percent faster completion (See, 2008).

Some of the tools developed in the SMARTcodes projects have been used within the ICC organization, but none are currently commercially available. Development of SMARTcodes ended in 2010 due to a lack of funding. More information can be found at the website: <a href="http://www.iccsafe.org/">http://www.iccsafe.org/</a>.

Several of the players interviewed in the US highlight SMARTcodes as a good project with potential for further development.

# **AutoCodes**

The Auto Code software is currently a prototype that promises an integrated compliance checking capability for the US building codes.



The long-term objectives of the AutoCodes project include development of an extensive, open-source rule

set library that will be approved and adopted by industry and regulatory bodies alike. The rule sets are to be used by technology developers in commercial applications and by code officials for the next generation of design, construction, and facility management (Fiatech, 2013).

AutoCodes is developed in collaboration between a series of companies and organizations. The participants are:

- Fiatech
- ICC



- Solibri
- Avolve software
- Burnham International
- Kaiser Permanente
- Computecture
- Target Corporation

In March 2012, the Fiatech Regulatory Streamlining Steering Committee released its final report on the successful completion of "AutoCodes Project, Phase 1, Proof-of-Concept." This consisted of 14 plan review agencies from different states, counties and cities participating in a process where they would review a common set of 2D paper drawings, manually, checking only for compliance with accessibility and egress codes (Fiatech, 2012, Widney, 2011). The results showed that there was such inconsistency between the agencies that it would be unlikely that any owner could expect to gain approval for multiple locations, from the same set of plans.

The project is currently in Phase 2 which is focusing on (1) expanding development of rule sets for other occupancy classifications and construction codes and (2) developing training materials to aid jurisdictions in transitioning from traditional electronic plan review and ultimately to automated checking. Phase 2 of the AutoCodes project is scheduled to finish in October 2014.

From interviews with AEC industry actors in the US it is clear that few believe that the AutoCodes initiative is able to deliver everything they promise. It seems to be a consensus that Fiatech are more focused on promoting their initiatives than developing them into solutions ready for implementation.

More information can be found at the website: <a href="http://www.fiatech.org/the-autocodes-project">http://www.fiatech.org/the-autocodes-project</a>



# **SINGAPORE**

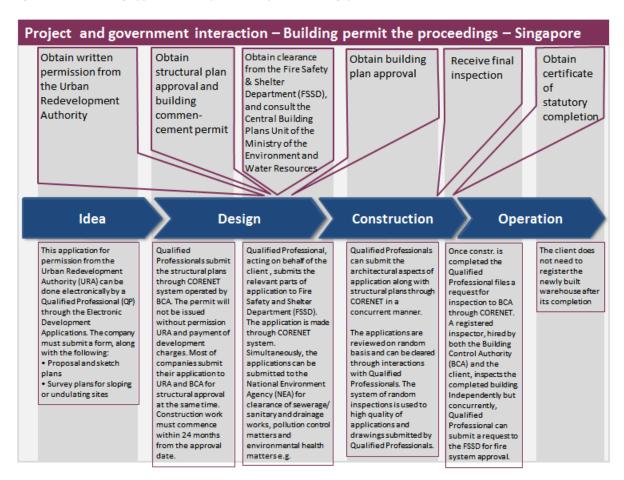
# **REGIONAL AEC INDUSTRY**

The AEC industry in Singapore consists primarily of labor migrants in terms of building workers. Overall, 300 000 work permits were granted for migrant workers in the construction industry in June 2013 (Singapore Government - Ministry of Manpower, 2013). The high proportion of labor means that the industry is slowing, taking productivity into account. The government has initiated a program for productivity improvement (Building and Construction Authority, 2013).

### **BUILDING APPLICATION AND PERMISSION PROCESS**

Figure 28 presents the building and application process in Singapore (The World Bank - International Finance Corporation (IFC), 2012).

Figure 28: The building application and permission process in Singapore



# ADOPTION AND IMPLEMENTATION OF BIM

The adoption of BIM in Singapore is estimated at 65 percent. Most of the AEC industry players are using AutoDesk solutions. The Singapore Building Construction Authority's approach to industry adoption of BIM is based on a top-down philosophy. According to Dr. Evelyn Teo at the University of Singapore, the driving forces behind the implementation and adoption of BIM in Singapore are strong economic incentives and education. The technology is mature and available, it is the soft human aspects of organization, culture and adoption of the technology that are the real challenges.



"The soft issues are the hard issues."

Dr. Evelyn Teo, University of Singapore In 2010 the Building and Construction Authority (BCA) in Singapore launched the BIM roadmap. This is intended to increase productivity and the level of integration among the various stakeholders in the AEC industry. The goal is that 80 percent of the AEC industry should be using BIM by 2015.

Singapore is currently focusing on open BIM. Though progress is moving slowly, supporters believe that non-proprietary solutions represent the only way to resolve interoperability issues.

### INITIATIVES FOR AUTOMATIC BUILDING APPLICATION AND PERMISSION PLATFORMS

## **CORENET**

The idea of an artificial intelligence planning checking system was first conceived in Singapore as early as 1982. During the eighties two attempts to test the idea were aborted, but in the early nineties research and development showed that the idea was technically feasible.



In 1995 the Ministry of National Development of Singapore, with the Building and Construction Authority as implementing agency, initiated CORENET (Construction and Real Estate Network) (BuildingSmart, Unknown).

The first step was an electronic consent submission system incorporating an in-house developed Building Plans (BP) Expert System to Check 2D plans for compliance (Dimyadi and Amor, 2013a). The BP Expert System was launched in 1997.

In 2002 CORENET was upgraded and the 2D BP Expert System replaced with 3D IFC data model. CORENET currently has three strands: e-Submission, e-PlanCheck and e-Info.

The <u>e-Submission System</u> has been up and running as an electronic service since early 2002. Involving the 16 regulatory authorities across eight government ministries that regulate the construction and real estate industry, it facilitates collaboration among the various regulatory authorities. By allowing industry professionals to submit and monitor the progress of planning applications over the internet, e-submission serves as a single government counter, available non-stop on a 24x7 basis. Industry professionals today do not need to make hard copy prints of building plans or take physical trips to the authorities. Transparency has also been improved, as all stakeholders can monitor the status and progress of planning applications online. As part of the project, government processes have been streamlined to improve efficiency and customer experience. e-Submission is based on PAVO<sup>TM</sup>, a suite of the J2EE application, which enables submission logic-handling and rules validation. It provides built-in business intelligence and secure transmissibility.

The <u>e-PlanCheck</u> initiative is the most ambitious part of CORENET. The process allows designs for new buildings to be digitally checked against building codes, using automated procedures, rather than manual paper-based processes. Involving eight regulatory authorities from five government ministries, the project will be rolled out in phases, starting with architectural works and building services. e-PlanCheck has been implemented on top of FORNAX™, a software platform developed by



novaCITYNETS, which extends the IFC models and builds additional intelligence to enable the implementation of checking functions. As the name suggests, checking functions are the core functionality of the e-PlanCheck system. At the base of the FORNAX™ software are: (a) database technology from EPM Technology A/S; (b) an ACIS library from Spatial Corp; (c) Open Cascade technology from Open Cascade; and, most importantly, (d) Industry Foundation Classes (IFC) Release 2x2 from BuildingSMART IAI International. With IFC 2x2 as a base, a layer of FORNAX™ objects were built. These FORNAX™ objects are enhancements to the IFC 2x2 model. These objects provide richer information which is required for the implementation of checking functions in the system. In order for the system to perform checks successfully, qualified persons submitting plans need to use CAD software which has been certified as capable of producing the IFC 2x2 model data expected by the system. This data is complemented by client-side functions which capture the additional information required by the checking functions. UK consultancy company AEC3 provided model development assistance to the Singapore government.

Available since 2002, the <u>e-Info System</u> provides a comprehensive central repository for building and construction-related information in Singapore, presented in a single format via a single portal on the internet. The integrated information channel provides a quick and easy source of reference, doing away with the need for industry professionals to maintain hard-copy reference materials. Email broadcasts are also available to alert users to new information and updates on the portal. Supported by 13 regulatory organizations across seven government ministries, e-Info offers information on codes, regulations, guidelines, standards, product catalogues, contractors' performance and Singapore standards. By leveraging the XML technologies, e-Info stores and describes information in a machine-interpretable format that can be processed and understood easily by different IT applications. Apart from allowing seamless communication, the content can be used by different internet-based e-business applications. At the same time, the removal of machine dependency means the life and value of information in e-Info can be better preserved and extended.

During earlier development, CORENET (BP Expert System) experienced problems related to lack of 3D CAD customized to Singapore's data format and the consequent high cost of sustaining local customization efforts (Ai Lin, 2006). It was decided that full development would be based on an international standard for 3D CAD, in fact the IFC open standard.

In Singapore, almost 100 percent of planning applications are now performed on the e-Submission System. With a customer base of over 2 500 companies, it is used widely by architects, engineers, surveyors, plumbers, electricians and other professionals. In an industry survey, 89 percent of the respondents indicated they had experienced cost and time savings related to printing of plans, transportation/dispatch services and increased staff productivity (BuildingSmart, Unknown). Adoption of the e-Information System is similarly widespread, with a user base of over 12,000 industry professionals, resulting in the gradual phasing-out of printed copies of circulars and correspondence by the participating regulatory departments (BuildingSmart, Unknown). It should be remembered that Singapore is a small and dense country with one centralized authority.

Dr. Tan Kee Wee and Cheng Tai Fatt at the Building Construction Authority believe that development of an automatic compliance-checking platform will return ten times the investment required.



# HONG KONG, KOREA AND JAPAN

# **REGIONAL AEC INDUSTRY**

### **Hong Kong**

Hong Kong's construction industry has earned a reputation over the years for rapid construction of quality high-rise apartment blocks and office towers. The adoption of specialized construction techniques, such as reclamation and design-and-build methods, has made Hong Kong a regional leader. Most of the export markets for Hong Kong's building and construction services are in Asia, with the Chinese mainland being a major one. Asia and the Middle East are also promising markets. Major services categories include project management, contracting and engineering consulting.

Hong Kong's construction activities can be broadly classified into three categories, namely buildings (residential, commercial, and industrial/storage/service), structures and facilities (transport, other utilities and plant, environment, and sports and recreation), and non-site activities (decoration, maintenance and repair, etc.). The overall gross value of construction work carried out by main contractors in Hong Kong (in real terms) has been rising since 2009. A strong growth of 35 percent in the value of public sector sites drove construction activity up by 16 percent to HK\$129 billion in 2011.

Hong Kong's construction industry employs approximately 70 000 people. It is characterized by a small number of large local contractors, a high level of subcontracting and the presence of a large number of overseas contractors, with a substantial proportion of companies being both developers and contractors. Most of Hong Kong's construction companies are small in size and those with less than HK\$10 million (US\$1.3 million) in annual gross value of construction work account for up to 97 percent of the construction industry. The majority of the small ones act as subcontractors to the large companies, which tend to be main contractors. There are quite a number of very big construction companies capable of handling projects requiring sophisticated technology and a strong financial background and which are expanding their business across the region (The Hong Kong Trade Development Council - Reseach, Nov 2012).

### Korea

The construction industry is an important sector that takes a significant share of the national economy. It accounts for 15.4 percent of the gross domestic product (as of 2006) and 8 percent of total employment (19.34 million as of June 2007).

According to the Korean Standard of Industry Classification, the construction industry can be divided into constructors involved in "Heavy Construction" or "Building of Complete or Partial Constructions". And Heavy Construction is further divided into "Site Preparation" and "Civil Engineering Construction (roads, bridges, tunnels, waterways, dams)", while Building of Complete or Partial Construction is again divided into "Residential Building Construction" and "Non-Residential Building Construction."

In terms of project issuers, the construction industry is largely divided into two groups: public issuers such as government organizations, local municipalities, state-run corporations (Korea National Housing Corporation, Korea Expressway Corporation, Korea Land Corporation) and private issuers, which are individuals and private companies (OECD Competition Committee, 2008).



## Japan

The construction industry is one of the key industries in Japan. It produces a little less than 20 percent of GNP and approximately 10 percent of the workforce is engaged in it. The industry has played an important role as a provider of residential buildings and of social overhead capital, which together constitute the foundation of both national life and industrial activity (Ministry of Land Infrastructure and Tourism (MLIT)).

According to the Construction Business Act, the "construction business" is the business of completing contracted construction work, irrespective of its name, including principal contracts and subcontracts. Japanese law defines "a construction business operator" as a company that engages in the construction business under license. Construction business licenses are classified according to the types of construction work conducted by business operators (28 types of construction work, including building construction and civil engineering) and licenses are classified in accordance with whether or not business offices are established in two or more prefectures (licenses issued by the Minister of Land, Infrastructure, Transport and Tourism or prefectural governors) (Ministry of Land Infrastructure and Tourism (MLIT)).

The number of authorized constructors is tending to increase and remains at the all-time highest level. However, construction investment has made only little progress following the collapse of the bubble economy and it is hard to expect the continuous growth experienced so far to continue in the future (MLIT).

Labor productivity for the construction business has been declining since the early 1990s, when the index reached its peak. As of 2002, labor productivity for the construction business stood at a level of approximately 70 percent of labor productivity for all businesses (Ministry of Land Infrastructure and Tourism (MLIT)).

### **BUILDING APPLICATION AND PERMISSION PROCESS**

#### **Hong Kong**

Figure 29 presents the building and application process in Hong Kong (The World Bank - International Finance Corporation (IFC), 2012).

#### Korea

Figure 30 presents the building and application process in Korea (The World Bank - International Finance Corporation (IFC), 2012).

## Japan

Figure 31 presents the building and application process in Japan (The World Bank - International Finance Corporation (IFC), 2012).



Figure 29: The building application and permission process in Hong Kong

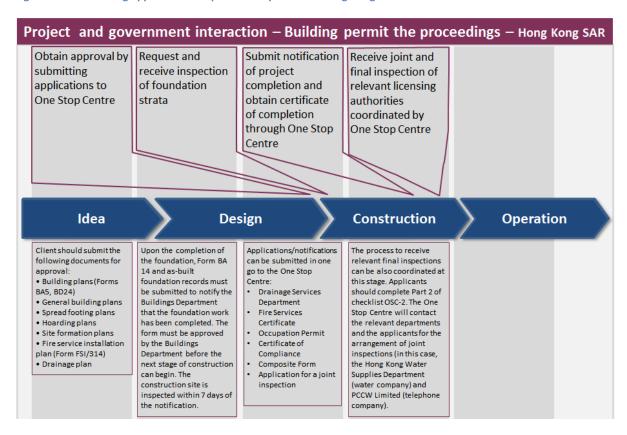


Figure 30: The building application and permission process in Korea

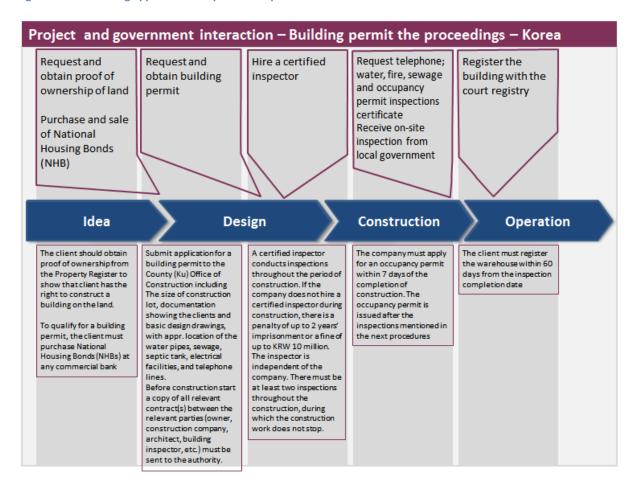
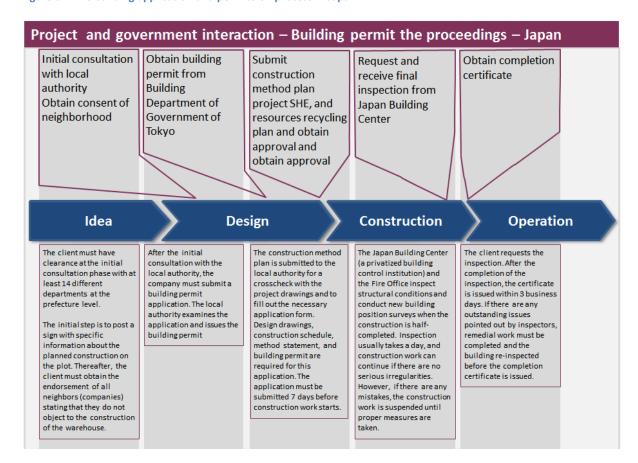




Figure 31: The building application and permission process in Japan



## ADOPTION AND IMPLEMENTATION OF BIM

# **Hong Kong**

Hong Kong has much to learn from the international experience in the adoption and integration of BIM. Different countries have taken different approaches. Different organizations have taken the lead in that adoption: government, private sector, and industry associations.

In 2007 the Hong Kong Construction Industry Council was founded. It focuses on improving the industry's productivity and procedures, and is funded by the industry itself. CIC consists of a chairman and 24 members representing various sectors of the industry, including employers, professionals, academics, contractors, workers, private individuals and Government officials (CIC, 2012). For the past two years CIC has been reviewing the international experience and has recently released its draft roadmap for a comprehensive, holistic approach to the wider adoption of BIM in Hong Kong's construction industry.

Given the sophistication of Hong Kong's construction industry, it is notable that so far this seems to have been an ad hoc adoption. As a whole, BIM implementation in the construction industry in Hong Kong is still at a primary stage. Individual participants' knowledge of BIM and capability to utilize BIM differ widely.



Compared with international BIM practice with respect to planning, adoption, technology and performance, Hong Kong is lagging behind the majority of developed countries. The CIC considers it necessary to catch up with the fast pace of the global adoption of BIM to maintain the competitiveness of Hong Kong's AEC services, in the region and internationally. Without sufficient skilled manpower and associated facilities in the BIM area, Hong Kong's industry will find it difficult to stay competitive outside the Hong Kong market.

Moving ahead, two key tasks have been identified for immediate action. First, a task force has been set up to focus on identifying and leading the preparation of standards, specifications, common practices, or reference documents to facilitate the industry to adopt BIM more fully. Second, the CIC will look to collaborate with active BIM practitioners to promote BIM. As part of that collaboration, the CIC is planning a 'BIM Year 2014', aiming to raise awareness in the industry through a year-long program of events (BIMAcademy and CIC, 2013).

### Korea

BIM did not receive much attention in Korea until the late 2000s. The first industry-wide BIM conference was held in April 2008. After this, BIM spread and has been adopted rapidly in Korea.

The Korea BIM Society Foundation was established in 2010.

The Korea Public Procurement Service has stated that use of BIM will be compulsory for all projects over \$Singapore 50 million (approximately EUR 30 million) and for all public sector projects irrespective of size by 2016 (BCA, 2011).

BuildingSMART Korea was established in 2008.

#### Japan

The situation with regard to BIM adoption in Japan appears to be much like the situation in Korea. Currently the focus on BIM is substantial and good progress is being made.

The Building Research Institute is the Japanese public sector institute for R&D for housing, building construction and urban planning. It was established in 1942. The Department of Product Engineering researches and develops systems for building production, responding to development within advanced information technology. It focuses on integrated information technology throughout building design, construction and maintenance (Building Research Institute, 2013).

The Building Research Institute of Japan and the Japan Federation of Construction Contractors (JFCC) hosted an international one-day seminar on the topic of Integrated Design & Delivery Solutions (IDDS) and BIM on November 1, 2013.

Obayashi Corporation is one of Japan's leading construction contractors. To promote active use of BIM technology, Obayashi Corporation has established specialized BIM departments within every branch. Obayashi is taking steps to apply BIM in all of its design and construction projects by the fiscal year ending March 31, 2016 (Obayashi Corporation, 2013).



## INITIATIVES FOR AUTOMATIC BUILDING APPLICATION AND PERMISSION PLATFORMS

# **Hong Kong**

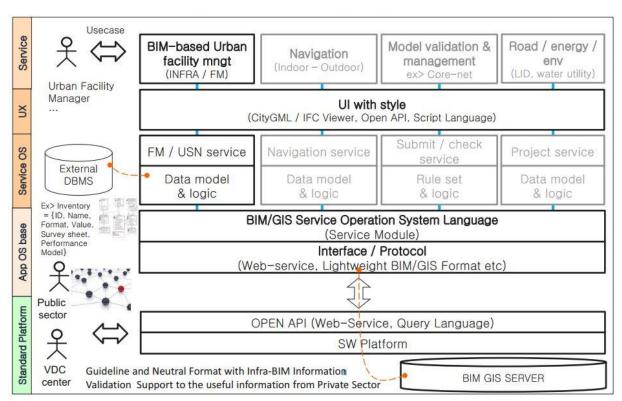
There is currently no common platform for BIM collaboration in Hong Kong. BIM is not used in any way as part of the building application and permission process. Ms Ada Fung at the Hong Kong Housing Authority is currently carrying out a survey to explore automatic submission issues.

#### Korea

Korea Building Information Management (K-BIM) is a consortium of commercial, academic and government organizations. They are working on the development of a national standard for BIM. The aim is to improve efficiency and to reduce waste, duplication of work and overall cost of construction projects.

Korea has a BIM on GIS project running from 2012 to 2016. The project seeks to develop a platform for interoperability between BIM and GIS. The platform is funded and developed by the authorities and will deliver a user interface for industry players based on open APIs. The project is run by Korea Institute of Construction Technology (Kang, 2013).

Figure 32: Information architecture for the Korean BIM on GIS project



It seems Korea has been lagging behind in the field of BIM and solutions for automated rule checking, but it is currently investing heavily in R&D and consequently fast catching up with the global leaders.

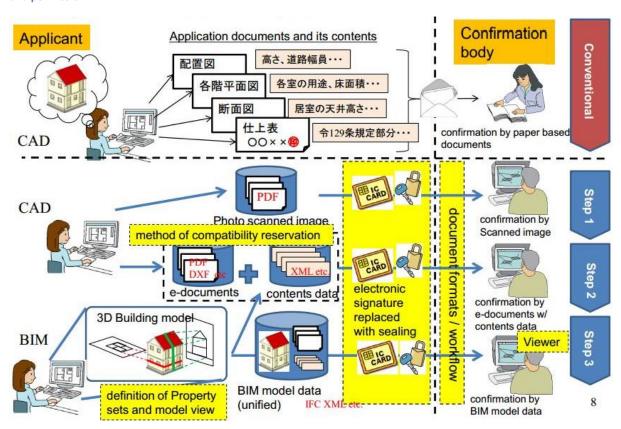
### Japan

Building Research Institute Japan is carrying out a project which aims to identify the bottlenecks in the existing Japanese procedures for building application and permission (Masaki, 2013). This is the first step in developing a platform for automatic building application and permission.



The plan for the development of an electronic solution is to carry out the implementation in steps. On the applicant side, the submission documents will first be required to be delivered as photoscanned images, before e-documents (XML, etc.) and eventually BIM will be required. On the authority's side, confirmation will be provided first by confirmation of scanned image, then by confirmation of e-documents with content data, and eventually by evaluation of the BIM.

Figure 33: Information architecture for the Japanese initiative to develop a platform for automatic building application and permission



Japan has looked to Singapore and is using the IFC format in development of the electronic submission system, and a lot of the current research is being conducted on the challenges related to compatibility with different software applications.

Japan plans a trial of a prototype system and to decide on technical specification for an electronic submission system during 2014. The prototype has been developed for small wooden houses.



#### **AUSTRALIA**

#### **REGIONAL AEC INDUSTRY**

The Australian AEC industry is not significantly different to other developed economies around the world. The construction process is still largely driven through a tender process where cost is the major determinate and risk-shedding is a major driver in contracts.

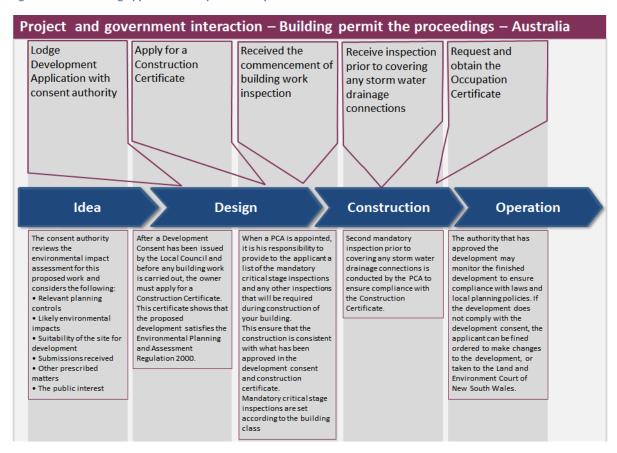
The Australian construction industry has been growing at a steady rate over the last decade. From an income of around AUS \$ 100 000 million in 2008-2009 to an income of nearly AUS \$ 300 000 million in 2011-2012, the industry has seen significant growth. The building and construction industry's current contribution to GDP is a little under ten percent (CSIRO, 2011). The construction industry is made up mainly of residential and commercial builders. However, infrastructure, industrial, and institutional construction also plays a major role in the development of the economy.

In 2008-2009 the Australian construction industry experienced a relative shrinking of its annual income and its overall growth due to the global economic crisis. The economic recession slowed down the steady growth of the construction industry in Australia. However, it did not affect the Australian construction industry as much as it did other large western countries, like the US and the UK (Australian Construction Resources, 2013).

#### **BUILDING APPLICATION AND PERMISSION PROCESS**

Figure 34 presents the building and application process in Australia (The World Bank - International Finance Corporation (IFC), 2012).

Figure 34: The building application and permission process in Australia





#### ADOPTION AND IMPLEMENTATION OF BIM

Australia and New Zealand are among the world's leading regions for building information modeling (BIM), with firms planning to deepen their involvement. In order to accelerate this adoption, firms will need to be able to define BIM benefits clearly and help educate owners about its value. The timing is ideal since firms can learn from the successes of users in more experienced countries but still maintain an edge over newer users in other parts of the world. About half of BIM users indicate that they have been engaged with BIM for more than three years. This compares favorably with other regions, with the U.S. the only major market with notably more experienced users (McGraw Hill Construction, 2014).

In the report "The business Value of BIM in Australia and New Zealand" McGraw Hill (2014) predicts the investments in BIM Over the next two years. They believe the greatest number of users will invest in developing internal BIM procedures and custom content libraries. Different subgroups have particular interests.

- Users at the very high implementation level will focus most on BIM processes with external parties, reflecting a growing need for collaboration skills and processes.
- Companies focused on infrastructure/industrial projects will spend more on desktop hardware, mobile hardware, BIM training and customization/interoperability solutions than their buildings-oriented counterparts will.

The Built Environment Industry and Innovation Council (BEIIC) was established in 2008 as an advisory body to the federal



Australian Government and an innovation advocate for the AEC industry (BEIIC, 2012). The Council's work focused on industry innovation challenges like climate change, sustainability and industry competitiveness as well as issues such as regulatory reform, workforce capability, skills needs, access to new technologies and other priorities for the industry. The BEIIC Final Report to the Government was published in December 2012.

Several initiatives where taken under the BEIIC. The reports found to be of greatest interest are:

The <u>National Building Information Modelling Initiative Report</u>, commissioned by BEIIC and prepared by buildingSMART Australasia in 2012, sets out a strategy for the accelerated adoption of BIM in the Australian AEC sector (BuildingSmart Australasia, 2012). It is accompanied by a National BIM Initiative Implementation Plan. The recommendations of the report are;

- 1. require full 3D collaborative BIM based on open standards for information exchange for all Australian Government building procurements by 1 July 2016;
- 2. encourage the Australian States and Territories through the Council of Australian Governments to require full 3D collaborative BIM based on open standards for information exchange for their building procurements by 1 July 2016;
- 3. follow the National BIM Initiative Implementation Plan, which requires execution of the following project work programs
  - a. Procurement
  - b. BIM Guidelines



- c. Education
- d. Product Data and BIM Libraries
- e. Process and Data Exchange
- f. Regulatory Framework
- g. Pilot Projects
- 4. establish a taskforce with key stakeholder representation to manage a 5-year program for the delivery of the National BIM Initiative Implementation Plan.

The report <u>Productivity</u> in the <u>Building Network</u>: Assessing the <u>Impacts of Building Information Models</u> that puts forward an economic case for the widespread adoption of BIM in the Australian AEC sector. It states that BIM has macroeconomic significance and that accelerated widespread adoption will contribute to improved national economic performance. The report further states, "the use of BIM has the potential to streamline processes throughout a building's lifecycle" and, "the use of digital modelling tools can have wider benefits for the Australian community when the use of this technology is extended."

#### INITIATIVES FOR AUTOMATIC BUILDING APPLICATION AND PERMISSION PLATFORMS

Australia is clearly entering the BIM era with industry wide adoption of BIM in recent years, as well as evident awareness and focus on BIM from the federal government. Despite this there is no active initiative to develop an automatic building application and permission platform. Design Check, presented in the following, was an interesting project, but this does not seem to be active at the time being.

There are some ongoing initiatives of interest to ByggNett that could be of interest to further investigations. At present most Australian building codes are prescriptive, but the Australian Building Codes Board (ABCB) is reportedly six months into a three-year program to move to performance-based codes. At the same time, ABCB have commissioned Arup to advice on the necessary steps to move the Australian Building Code into a computer sensible format. It would be interesting to learn more about how these two initiatives interrelate.

#### ePlanning Australia

Across Australia, there is significant variation in policy and process used by the States and Territories to support planning and development activities in their jurisdiction. Numerous studies and reports highlight these issues and present recommendations to improve the impacts created by these differences (National ePlanning Steering Comittee, 2011). The purpose of the National ePlanning initiative is to establish electronic planning (ePlanning) services in Australia. The ePlanning initiative seems to be in an early phase. Only the strategy is completed and available. More information available at: <a href="https://www.eplanningau.com">www.eplanningau.com</a>.



Figure 35: Vision of the ePlanning Services (National eDA Steering Comittee, 2011).



#### **BASIX**

The state of New South Wales introduced the Building Sustainability System (BASIX) in 2004. BASIX is an online compliance assessment tool integrated in the planning



system (BASIX, Unknown). The system aims to deliver equitable, effective water and greenhouse gas reductions across the state by having the projects assessing themselves online. The complexity and level of automation in the solution appear to be relatively low. Compliance is checked by the user answering a series of questions to confirm level of compliance and tallow for the issue of a compliance certificate.

A research project undertaken at University of New South Wales in 2010 reviewed how an automated BASIX compliance process based on a BIM could be undertaken (City Futures Research Center, 2010). The project sought to exploit the existing technologies of Open Geospatial Consortium (OGC) and IFC, and use ontologies to manage knowledge integration. buildingSMART and Jotne EPM Technology where among the project partners. This work has not been pursued.

#### DesignCheck

In 2006 CSIRO announced DesignCheck as the successor to BCAider (see bottom of page). It is the only application that is specific to Australia. It checks for compliance against the disability codes incorporated in Australian Standard AS1428.1 of the Building Code of Australia (BCA) (Shih et al., 2012).

The initiative has been awarded national building industry awards in Australia (CSIRO, 2011).

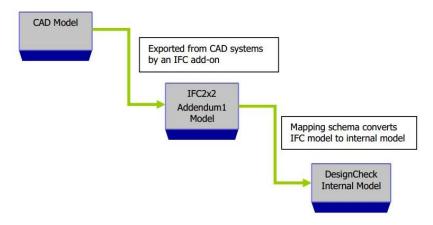
The DesignCheck system develops an object-based rule system using EDM for encoding design requirements from building codes. It defines a DesignCheck internal model based on IFCs for modeling extended design information. The advantages in the DesignCheck system beyond existing tools provide an automated code-checking process, flexibility by allowing a design to be checked by selected clauses or object types and support for checking various stages of design during the design



process, such as at the early stage of design, detailed stage of design and specification stage of design (Ding et al., 2006). The DesignCheck system is targeted broadly at use by building authority certifiers as well as architects and designers.

For a domain-specific application such as code compliance-checking, detailed application-specific information may be missing in the IFC model. An internal model has been developed for DesignCheck to solve this problem. The DesignCheck internal model extends the IFC model to cover enriched application-specific information, i.e. the information required by building codes. The mapping schema for automated translation from IFC to the internal DesignCheck model is presented in Figure 36.

Figure 36: Mapping schema for automated translation from IFC to internal DesignCheck model



Ding et al. (2006) CSIRO and (2011) proposed the following benefits that could be gained from DesignCheck:

- Automating the design checking process for compliance with building codes;
- Providing more reliable assessment with less errors;
- The ability to interrogate 3D object-based CAD systems;
- Allowing the checking at various stages sketch design, detailed design and specification;
- Allowing the checking of a design by selected building code clauses;
- Allowing the checking of a design by selected building object types;
- Providing a friendly and interactive reporting system;
- The ability to check 'on-the-fly' the compliance of the design to building codes, and to reduce the lead-time of a design process.

Shih et al. (2012) highlights some of the challenges remaining in the development of Design Check:

- DesignCheck uses rule-based engines to interpret the building code and it is difficult for designers and non-computer experts to revise the rules.
- DesignCheck only allows for compliance-checking against the building codes for disability.
- Checking reports cannot be presented in visual format.



The plan has been to develop the solution for check against more building codes, but this is not yet done. DesignCheck has never been launched commercially. Currently it appears that there are no further plans for development.

#### **BCAider**

BCAider was released by the Commonwealth Scientific and Industrial Research Organization (CSIRO) in Australia. The first version of BCAider was released in 1991 to a target market of building surveyors, architects, engineers and educational trainers (CSIRO, 2011). The software was licensed for distribution initially by Butterworth's from 1991 for about 6 years and then licensed to CBH. CBH ceased distribution around 2005 and CSIRO decided to withdraw BCAider.

BCAider was a commercially available expert system for compliance checking against the Building Code of Australia (Dimyadi and Amor, 2013). The system was unsophisticated compared to current information technology, not being much more than a digital library of building codes and a guide asking the user to answer a series of questions. Hypertext was used to provide background information and examples.



#### **NEW ZEALAND**

#### **REGIONAL AEC INDUSTRY**

The construction sector is the fifth largest sector in the New Zealand economy. It employs over 170 000 people, 8 percent of the workforce (MBIE, 2013). It represents approximately 8percent of the economy and generates about 6 percent of GDP (nominal) (Dimyadi, 2013, MBIE, 2013). Despite the financial crisis and the associated downturn in construction activity, the sector employs 36 000 more workers today (2013) than in 2002, a 30 percent increase. The sector is a key driver of economic growth. It is estimated that a 1 percent productivity boost in the sector is worth AUS \$300 million. Production from the sector accounts for around 45–50 percent of gross fixed capital formation in the economy annually, providing basic infrastructure, housing and commercial, industrial and public buildings.

Construction services are a large, diverse and fragmented sector, employing some 96 000 workers, 37 percent of whom are self-employed. Residential and non-residential building employ together 44 000 workers, close to half of whom are self-employed. Heavy and civil engineering firms specialize in large infrastructure projects such as roads, dams, tunnels, and telecommunications and electricity networks. The sub-sector has 35 large firms (employing more than a hundred people) and these account for 72 percent of employment, or 20 000 workers. The sector includes some of New Zealand's largest firms, such as Fulton Hogan.

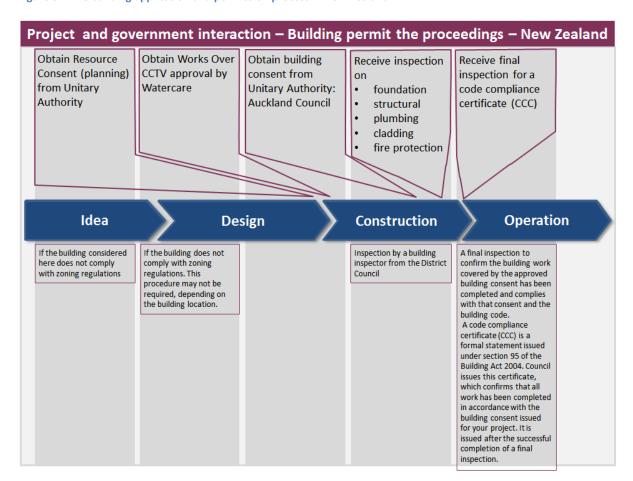
The construction sector faces some well-documented challenges. These are the subject of a significant amount of work by both the sector and government, such as the work of the Building and Construction Productivity Partnership. The sector experiences the highs and lows of the business cycle more acutely than the economy as a whole. For every hour worked in the sector, \$34 of GDP is generated (2010). This is significantly below the all-sector labor productivity average of \$48 per hour worked. In times of high demand there are bottlenecks in the supply of trained and skilled labor, with immigration often filling the gaps.

#### **BUILDING APPLICATION AND PERMISSION PROCESS**

Figure 37 presents the building and application process in New Zealand (The World Bank - International Finance Corporation (IFC), 2012).



Figure 37: The building application and permission process in New Zealand



#### ADOPTION AND IMPLEMENTATION OF BIM

In New Zealand there exists a partnership between industry and Government, called the Building and Construction Sector Productivity Partnership. One of their main priorities is to accelerate the application of BIM in the construction process. They believe it is important to achieve a change in productivity within the construction industry (Productivity Partnership 2014). The Building and Construction Sector Productivity Partnership, supported by the BIM working group of the National Technical Standards Committee (NTSC), is now working on a New Zealand BIM handbook and BIM Schedule. The Productivity Partnership sponsored the creation of a NTSC, whose role is to promote open data standards, so as to enable BIM inter-operability. One other company that is engaging with the challenge of BIM adoption is Construction Information Ltd, owned by the industry through its shareholding partners NZIA, BRANZ, RMBF. Its mission is to maintain and deliver Masterspec, New Zealand's leading specification system, miproducts, the national product database, and CBI (Co-ordinated Building Information), the classification and coding system for the New Zealand construction industry, as well as related industry documentation and information. The company's policy is to respond to market needs, with a number of new specification and support products currently under development, including NextGen 2 and Building Information Modelling related initiatives (Masterspec, 2013).



According to the New Zealand National BIM Survey 2013 there has been an increase in those currently using BIM, from 38% in 2011 to 57% in 2013. Similarly there is an increase from 68 percent in those expecting to use BIM in a year's time, up to 77 percent today. The results indicate that lack of expertise and the lack of "standardized tools" are major barriers and that training programs are needed, outside of those currently provided by the leading software vendors. Andrew Reding, chair of the Construction System Work group (Productivity Partnership), says that further work is being done with the Government to accelerate the introduction of BIM, ideally through its use on significant public sector construction projects. Part of this work includes ensuring adequate infrastructure will be in place to support the use of BIM on these projects. To this end, a New Zealand BIM handbook is nearing completion and ideas for an industry-wide generic BIM object library are being investigated. Furthermore, educational institutions are looking at co-operating in producing training modules for BIM covering all qualification levels (Masterspec, 2013).

A research strategy for the building and construction sector has been developed by BRANZ, the Construction Industry Council (CIC), the Construction Strategy Group (CSG) and the Ministry of Business, Innovation and Employment (MBIE). Building information modelling (BIM) and building environment information modelling (BEIM) have been promoted for a number of years, but recent rapid technology development and practitioner and client education have seen rapid growth in the take-up of BIM in the commercial construction industry especially. The application of BIM to date has highlighted the opportunities that BIM offers and the challenges for implementation of BIM and realizing these opportunities (BRANZ et al., 2013).

#### Case study: Using BIM to rebuild Christchurch

Work is just starting on the massive rebuilding effort in the city of Christchurch and the region of Canterbury after the devastating earthquake of February 2011. It is likely that the massive effort, which may take as long as 10 years, will encourage wider BIM use in New Zealand, but currently, in the early stages, it also poses unique challenges.

Chris Kane, manager at the Productivity Partnership, sees the potential and the challenges at Christchurch through the lens of the ongoing efforts that his government-run-organization has been making to help improve productivity in New Zealand's construction sector since 2010. One strategy that the Partnership has undertaken to encourage use of BIM is creating a BIM Handbook to educate the industry, as well as a client's implementation guide for BIM.



Glenn Jowett, senior structural designer at BECA, a design firm engaged in a wide range of projects in the rebuilding efforts, including the \$130 million Burwood Hospital project, sees slow adoption of BIM up to this point in the New Zealand market, but that has not prevented his firm from capitalizing on BIM. He says, "We are working with companies that have no BIM experience, but we still see benefit in 'lonely BIM'. There is still benefit in passing 3D information downstream to fabricators and contractors, as well as the ability to quickly schedule materials" (McGraw Hill Construction, 2014).



#### INITIATIVES FOR AUTOMATIC BUILDING APPLICATION AND PERMISSION PLATFORMS

Although it is a relatively small country situated in an isolated region of the world, New Zealand is definitely keeping up with developments in the AEC sector. Construction informatics in general and BIM especially seem to be a focus area. There are ongoing initiatives of interest to the ByggNett project, though they seem to be in an early phase. We have chosen to present two initiatives briefly here, as follows.

#### National online building consent processing system

In March 2008 the New Zealand government's Ministry of Business, Innovation and Employment (MBIE) announced a package of initiatives intended to streamline the building design and consenting process. One of these initiatives was to investigate the feasibility of establishing a national online building consent application and submission tracking system (MBIE, 2008).

Soon after the announcement the department completed an initial feasibility study, which concluded that a national online building consent application, tracking, processing and approval system appeared technically feasible. Such a system could bring a number of benefits and efficiencies for both consent applicants and building consent authorities, such as reducing time in submitting and tracking applications and reducing paper use and storage demands. It was also concluded that any system should also include both the permitting phase and the inspection process as building work progresses to provide an 'end-to-end' solution.

The feasibility study also identified issues that needed to be worked through further before a final decision on the proposed initiative could be made. These issues included:

- specific design options for any web-based system;
- how any national system could be integrated with councils' existing systems;
- ownership and governance issues;
- potential funding models;
- timing and implementation issues; and
- design and implementation costs.

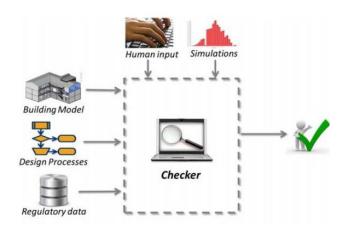
MBIE has invested resources in developing a more detailed business case for establishing an online building consents facility to cover such issues. Potential models from other countries have been researched and considered, including from Australia and the United Kingdom. A study group was formed which visited these countries to investigate their models, worked with local councils who had recently developed and implemented successful online permitting systems, and met with stakeholders. Potential developers and technology providers were invited to submit expressions of interest and to generate technical information to substantiate the business case.

The results of this effort and the current status of the initiative are uncertain. The results from our survey suggest that not much has happened since 2008, but we have not found evidence that the project has been aborted. This should be investigated further.



#### Regulatory knowledge representation

The University of Auckland's Department of Computer Science has ongoing research in field of regulatory knowledge representation for automated compliancechecking based on BIM. The research addresses the inflexibility and inefficiency of implementation of representations by investigating practical frameworks for compliance (Dimyadi and Amor, 2013b). The Fire Codes of New Zealand is used as a case study.



Building regulations are subject to continuing amendments. The research emphasizes the importance of making it possible for domain experts to manage the representation of design procedures and regulatory requirements themselves. This can be achieved through the implementation of a high level user-friendly front-end tool. Graphic representation of compliant design procedures can be created via the Business Process Model and Notation (BPMN) (Dimyadi, 2013).

Dimyadi and Amor (2013b) explore three different approaches for representation of regulatory rules for compliance checking:

- 1. DBMS (Database Management System) user-defined functions and look up tables
- 2. Rule Sets in the Solibri Model Checker (SMC)
- 3. Drools Rule Language (DRL) with graphic editor

The study concludes that SMC's predefined set of rules and restricted parameter editing make it limited in application. Manual coding of rules in DBMS or DRL require expert knowledge, but this can be resolved through the development of a dedicated user interface.

Though this research is theoretical and at a conceptual level, it offers some of the most comprehensive work in the field of automated building compliance checking. This is definitely of interest to the ByggNett initiative.



#### 5. MAIN FINDINGS

#### BIM

- The terms Building Information <u>Model</u> (product) and Building Information <u>Modeling</u> (process)
  are being used interchangeably. People have a conception of the meaning they attach to the
  term. This may cause significant communication challenges.
- Change in human behavior is the greatest challenge regardless of profession, project role or geographic location.
- The software for BIM-based design, construction and operation is mature and available.
- Despite increasing life cycle focus, maturity and adoption of BIM-based work processes diminish from best practice in the design phase to hardly being present in operation.
- Open BIM (IFC) has a stronger position in Europe than in the rest of the world.
- There is an inconclusive debate whether one data format for all purposes (IFC) is the right solution for data exchange between involved parties.
- A consensual solution for unique identification and semantic description of objects in BIMs is
  yet to be defined. The reference library buildingSMART Data Dictionary (bSDD) currently is
  the most mature solution.
- The AEC sector is moving into the model server era.
- The UK, US, Singapore and the Nordic countries are at the frontier of BIM adaptation.
- Hong Kong, Korea and Japan are focusing on BIM and developing fast.
- Some research into benefits experienced from BIM use has been done, especially in the UK.
   Among reported benefits from construction projects are cost and time reduction, but exact quantification is difficult to predict.

#### **AUTOMATED COMPLIANCE-CHECKING**

- Building regulations that before was formulated as prescriptive requirements are today performance base. This is a global trend. Performance based regulations are challenging to present as machine readable rules.
- There are several software vendors developing applications for compliance-checking. The technology appears to be mature. Hence ByggNett probably can be based on existing solutions for automatic compliance-checking.
- In all surveyed applications the regulatory data representation is hard-coded into the system
  and is subject to manual updates by software experts. This makes maintenance and revision
  demanding and resource consuming.

#### REGIONAL INITIATIVES FOR DEVELOPING APPLICATION AND PERMISSION PLATFORMS

CORENET in Singapore was the first serious effort into developing a platform for automated building and application processes. This may be seen as the catalyst which promoted the development of similar solutions in a series of countries. The initiatives found to be of significant interest for the development of ByggNett are:

- CORENET (Singapore)
- The Planning Portal (UK)
- SMARTcodes (US)



#### DesignCheck (Australia)

The SMARTcodes and DesignCheck projects are not currently active.

In addition to the above mentioned initiatives there are projects with many similarities to the ByggNett concept in the pipeline in Korea, Japan, New Zealand, Germany and Denmark. A building application and permission platform is in development in Iceland, but the level of ambition is found to be more like ByggSøk than the proposed ambition for ByggNett.

It is evident that the issues being discussed to a large extent are the same in the projects, regardless of culture, organizational belonging or geographic location. We have identified seven central technology issues that all initiatives into developing solutions for automatic building application and permission processes must consider. These are presented in Table 6. The parameters can be used in further investigations into the above mentioned initiatives, for instance with the use of a structured model as proposed by Hjelseth (2013).

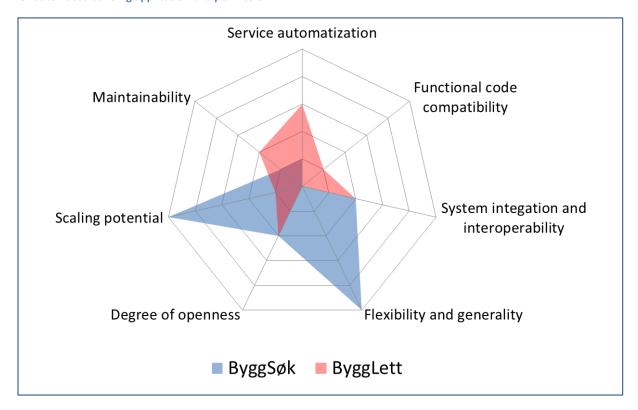
Table 6: Seven central issues that every initiative to develop a platform for automated building application and permission must consider, together with the current situation in Norway.

Parameter	Definition	Current situation in Norway (with ByggSøk)
Service automatization	The degree of automatic collection of relevant information and degree of automatic assessment of the application.	Electronic filling and submittal of building applications over the Internet.  Application verifies that all fields in the form are filled in before submission. Email with digital, static attachments is the media for submission.  Applications are processed in the same manner as traditional applications
Functional code compatibility	To what extent the solution is compatible with functional descriptions from building codes.	No automated rule checking functionality.
System integration and interoperability	The solutions level of integration and interoperability with relevant systems and databases. (Similar to Norwegian government's architectural principle 2 for ICT solutions.)	Possible for local authorities to integrate ByggSøk with existing GIS solutions for maps, estate information, neighbors etc.
Flexibility and generality	The solutions capability of processing structures of different classification, scale and complexity. (Similar to Norwegian government's architectural principle 6 for ICT solutions.)	No restriction in building types.
Degree of openness	To what extent the solution is developed as an open platform based on non-proprietary technology. (Similar to Norwegian government's architectural principle 5 for ICT solutions.)	Any data exchange is done using XML format. HTML, PDF, FTP and XML files can be exported. ByggSøk allows for data to be printed on special purpose forms.
Scaling potential	Potential for future scaling in data volume and number of users. (Similar to Norwegian government's architectural principle 7 for ICT solutions.)	ByggSøk can potentially be used by all applicants.
Maintainability	Capability of being maintained by non-experts on software technology. (Similar to Norwegian government's architectural principle 5 for ICT solutions.)	The technical specification of ByggSøk is done internally by the Norwegian Building Authority.  Development is contracted to EPM Jotne  Technology and the programming is primarily done by one developer employed by EPM Jotne in Russia.



Figure 38 presents the current situation for building application and permission processes in Norway in blue color. The red color depicts how the situation can be if ByggLett is developed and implemented as outlined. ByggNett will expand the graph area by reaching a higher performance level on one or more axes. This is something one must consider when setting the level on ambition for the ByggNett project.

Figure 38: Visualization of the current situation in Norway based on the seven parameters for assessment of platforms for automated building application and permission.



Through this status survey we have been met with hospitality and a sincere interest of sharing knowledge. The possibility of harvesting competence and experience from existing initiatives, both public and commercial, is evident. This should be utilized by the ByggNett program. All persons that have contributed to the status survey are listed in the appendixes.



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#### **APPENDIX 1 - PERSONS INTERVIEWED**

#### Norway

Roger Stenbakk

Senior Advisor/Customer Manager Altinn



**Andreas Hamnes** 

**Architect and Senior Advisor** Altinn



**Trine Tveter** 

**Managing Director** Standards Norway



**Frode Mohus** 

Senior Lecturer Telecommunication and Automation Statsbygg



Ingvild G. Mathisen

Marketing manager AEC Standards Norway



**Petter Eiken** 

Chairman Bygg 21



**Steen Sunesen** 

**Managing Director** buildingSMART Norway



**Vidar Offigstad** 

Norwegian Food Safety Authority



**Diderik Haug** 

Project manager R & D - BIM Statsbygg



Jan Myhre

Vice technical resource center Statsbygg



#### **Adam Matheus**

Altinn

#### **Trond Molseth**



#### Nordic countries (except Norway)

#### **Maria Rydqvist** Researcher

Boverket Sweden



**Haraldur Arnórsson** 

BIM specialist and manager for BIM Iceland **Government Construction Contracting** Agency (GCCA)

Iceland





Guðni Guðnason

Project Manager Innovation Center Iceland Iceland



Elvar Ingi Johannesson Construction Engineer EFLA Consulting Engineers Iceland



**Bjorn Karlsson** 

Professor Dr.Ing.
Director General
Iceland Construction Authority
Iceland



Gudmundur Kjernested IT Director Iceland Construction Authority Iceland



#### Germany

# Andre Borrmann Professor Dr.Ing. Chair of Computational Modeling and Simulation Technical University of Munich



Raimar Scherer
Professor Dr.Ing
Head of the Institute for Construction
Informatics
Technical University of Dresden



#### UK

#### Adam Matthews Head of Departmental Delivery &EU Development Director UK BIM Task Group



#### USA

#### Jim Becker

Corporate Senior Vice President, Skanska

**Boston Massachusetts** 



Patrick McLamey
Chairman and CEO, HOK architects

Chair, buildingSMART Int.

San Francisco, California



#### Dr. Calvin Kam

Director Industry Programs Consulting Associate Professor, Stanford University

Founder and CEO, bimSCORE

San Francisco, California



Charles M. Eastman

Director, Digital BuildingLaboratory Professor, College of Architecture and Computer Science, Georgia Institute of Technology

Atlanta, Georgia





**Phil Bernstein** 

**Tony Rinella** 

Director, bimSCORE

San Francisco, California

Vice President, Building Industry Strategy & Relations, Autodesk, Inc.

New Haven, Connecticut



James Vandezande
Principal, HOK architects
(Director of HOK's buildingSMART initiative)

New York, New York



Robert C. Wible

Founder and Principal, Robert Wible & Associates

Senior Project Manager, Fiatech

Austin, Texas



#### **Ian Peter Atkins**

Firmwide BIM Application Manager, KPF architects

New York, New York



James Brogan
Director, Firmwide 3

Director, Firmwide Technology, KPF architects

New York, New York



#### Jonatan Schumacher

Director of Advanced Computational Modeling, Thornton Tomasetti,

New York, New York



**Martin Fischer** 

Professor of Civil and Environmental Engineering and Computer Science Stanford University

Stanford, California



#### Asia

**Julian Lee** 

Research Manager, Construction Industry Council

Hong Kong



**Cheng Tai Fatt**Deputy Managing Director,

Singapore



#### Dr. Tan Kee Wee

Director, Information Technology Director, Centre For Construction IT, Building and Construction Authority

Professor, Anyang University, Dept. of

**Urban Information Engineering** 

Singapore



Dr. Evelyn Teo

**BCA Academy** 

Associate Professor Director of External and Alumni Affairs, National University of Singapore, Department of Building

Technical Coordinator, buildingSMART Singapore Singapore



Inham Kim

Professor, Kyung Hee University Chief vice-president, buildingSMART Korea Korea



#### Seoul, Korea

Dr. Sangki Hong

**Chanwon Jo, Ph.d**Director of research center

Japan



Yoshinobu Adachi

Technical Coordinator, buildingSMART

Japan





Junichi Yamashita

Chairman, buildingSMART

Japan



Kenji Yamamoto

Manager, NEC Corporation, 2<sup>nd</sup>
Manufacturing and Automotive Industries
Solutions Division, Solutions Promotion
Departement

Japan



#### Megumi Iwamatsu

NEC Corporation, 2<sup>nd</sup> Manufacturing and Automotive Industries Solutions Division

Japan



Katsumi Sakakibara Advisor, C.I.Lab Inc.

Japan



#### Woon-Jae Lee

Strategic Planning Team Manager buildingSMART Korea

Korea



#### Australia

Jim Plume Hon Senior Lecturer, University of New South Wales

Treasurer, BuildingSMART Austrailasia



#### New Zealand

## **Johannes Dimyandi**PhD Researcher- Computer Science The University of Auckland





### APPENDIX 2 - WORKSHOP PARTICIPANTS

Name	Position	Company / organization
Steen Sunesen	Managing Director	buildingSmart Norway
Jøns Sjøgren	BoligBIM	Bolig produsentenes forening
Jorulf Ragnes		EPM Jotne
Tore Ulvin	Project manager	Storebrand Property
Anita Moum	Senior advisor	AB Faculty Norwegian University of Science and Technology
Elisabeth Heier	BIM-responsible	Region East Rambøll Norge AS
Terje Josefsen		Skanska Norway AS
Ole Jørgen Karud	Business Developer	Catenda/SINTEF
Anton Burger Eygelaar	Cost estimation systems and BIM	Veidekke Entreprenør AS
Morten P. Staubo	Group leader	Link Arkitektur
Maria Puhr		Forsvarsbygg
Kai Andre Jellum		Forsvarsbygg
Morten Andre Gullhaugen-Revling	Contracts	Forsvarsbygg
Siv Brunes Røtvold	Seksjonsleder tilstand og bygningsvern	Multiconsult AS